STATE OF LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT

CONSTRUCTION PROPOSAL



FEDERAL AID PROJECT

STATE PROJECT NO. 450-03-0075 I-10 FENCE REPAIR (RITA) ROUTE I-10 JEFFERSON DAVIS PARISH

STATE PROJECT NO. 450-30-0073 I-210 FENCE REPAIR (RITA) ROUTE I-210 CALCASIEU PARISH

STATE PROJECT NO. 450-91-0158 I-10 FENCE REPAIR (RITA) ROUTE I-10 CALCASIEU PARISH

STATE PROJECT NOS. 450-03-0075, 450-30-0073 AND 450-91-0158 TABLE OF CONTENTS

	Page No.
Title Sheet	A-1
Table of Contents	B-1
Notice to Contractors	
Special Provisions	D-1 thru D-3
Supplemental Specifications:	
Supplemental Specifications for 2006 Standard Specifications (10/07)	E-1 thru E-16
Female and Minority Participation in Construction (01/83)	
Specific Equal Employment Opportunity Responsibilities (06/84)	E-24 thru E-30
Required Contract Provisions, Federal-Aid Construction Contracts	
(04/93) (Rev. 05/94)	F-1 thru F-10
DBE Participation in Federal Aid Construction Contracts	
Minimum Wage Determination	H-1 thru H-6
Plans (18 sheets)	
Construction Proposal Returnables:	
Title Sheet	J-1
Bid Bond	
Schedule of Items	
Construction Proposal Signature and Execution Form	

NOTICE TO CONTRACTORS (08/07)

Either sealed paper bids or electronic bids for the following project will be received by the Department of Transportation and Development (DOTD). Paper bids can be delivered to the DOTD Headquarters Administration Building, 1201 Capitol Access Road, Room 405-L, Baton Rouge, Louisiana 70802 until 8:00 a.m on Wednesday, July 30, 2008. After 8:00 a.m., paper bids will be received in the Headquarters Auditorium until 10:00 a.m. Electronic bids must be submitted through www.bidx.com prior to the electronic bidding deadline. Beginning at 10:00 a.m., all bids will be publicly opened and presented in the Headquarters Auditorium. No bids will be received after 10:00 a.m. Any person requiring special accommodations shall notify DOTD at (225) 379-1111 not less than 3 business days before bid opening.

DBE GOAL PROJECT

STATE PROJECT NOS. 450-03-0075, 450-30-0073, AND 450-91-0158

FEDERAL AID PROJECT NO. ER-ERP2(006)

DESCRIPTION: I-10 & I-210 FENCE REPAIR (RITA)

ROUTES: I-10 AND I-210

PARISHES: JEFFERSON DAVIS AND CALCASIEU

LENGTH: 22.340 miles.

TYPE: FENCE REPAIR AND RELATED WORK

LIMITS: <u>State Project No. 450-03-0073</u>: CALCASIEU PARISH LINE TO ACADIA PARISH

LINE.

LIMITS: <u>State Project No. 450-30-0073:</u> EAST INTERCHANGE I-10 TO WEST INTERCHANGE I-10.

LIMITS: <u>State Project No. 450-91-0158</u>: EAST END SABINE RIVER BRIDGE TO JEFFERSON DAVIS PARISH.

ESTIMATED COST RANGE: \$500,000 to \$1,000,000

PROJECT ENGINEER: Duberville, Donald; 5827 Hwy. 90 E., Lake Charles, LA 70615, (337)

437-9200.

PROJECT MANAGER: Drake, Bill; (225) COST OF PROPOSAL FORMS: \$25.00

COST OF PLANS: Included in proposal (no additional charge).

Bids must be prepared and submitted in accordance with Section 102 of the 2006 Louisiana Standard Specifications for Roads and Bridges as amended by the project specifications, and must include all information required by the proposal.

NOTICE TO CONTRACTORS (CONTINUED)

Plans and/or proposals may be obtained in Room 101-A of the DOTD Headquarters Administration Building, 1201 Capitol Access Road in Baton Rouge, or by contacting the DOTD; Email: sharonknight@dotd.la.gov, Phone (225) 379-1111, FAX: (225) 379-1714, or by written requests sent to the Louisiana Department of Transportation and Development, Project Control Section, P. O. Box 94245, Baton Rouge, LA 70804-9245. Proposals will not be issued later than 24 hours prior to the time set for opening bids. The purchase price for plans and proposals is non-refundable. Plans and specifications may be seen at the Project Engineer's office or in Room 101-A of the DOTD's Headquarters Administration Building in Baton Rouge. Upon request, the Project Engineer will show the work.

The U. S. Department of Transportation (DOT) operates a toll free "Hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m., eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should call 1-800-424-9071. All information will be treated confidentially and caller anonymity will be respected.

STATE PROJECT NOS. 450-03-0075, 450-30-0073, AND 450-91-0158 SPECIAL PROVISIONS

GENERAL BIDDING REQUIREMENTS (08/06): The specifications, contract and bonds governing the construction of the work are the 2006 Edition of the Louisiana Standard Specifications for Roads and Bridges, together with any supplementary specifications and special provisions attached to this proposal.

Bids shall be prepared and submitted in accordance with Section 102 of the Standard Specifications.

The plans herein referred to are the plans approved and marked with the project number, route and Parish, together with all standard or special designs that may be included in such plans. The bidder declares that the only parties interested in this proposal as principals are those named herein; that this proposal is made without collusion or combination of any kind with any other person, firm, association, or corporation, or any member or officer thereof; that careful examination has been made of the site of the proposed work, the plans, Standard Specifications, supplementary specifications and special provisions above mentioned, and the form of contract and payment, performance, and retainage bond; that the bidder agrees, if this proposal is accepted, to provide all necessary machinery, tools, apparatus and other means of construction and will do all work and furnish all material specified in the contract, in the manner and time therein prescribed and in accordance with the requirements therein set forth; and agrees to accept as full compensation therefore, the amount of the summation of the products of the quantities of work and material incorporated in the completed project, as determined by the engineer, multiplied by the respective unit prices herein bid.

It is understood by the bidder that the quantities given in this proposal are a fair approximation of the amount of work to be done and that the sum of the products of the approximate quantities multiplied by the respective unit prices bid shall constitute gross sum bid, which sum shall be used in comparison of bids and awarding of the contract.

The bidder further agrees to perform all extra and force account work that may be required on the basis provided in the specifications.

The bidder further agrees that within 15 calendar days after the contract has been transmitted to him, he will execute the contract and furnish the Department satisfactory surety bonds.

If this proposal is accepted and the bidder fails to execute the contract and furnish bonds as above provided, the proposal guaranty shall become the property of the Department; otherwise, said proposal guaranty will be returned to the bidder; all in accordance with Subsection 103.04.

DBE PARTICIPATION IN FEDERAL AID CONSTRUCTION CONTRACTS (02/07):

This project is a DBE goal project. In accordance with the Required Contract Provisions for DBE Participation in Federal Aid Construction Contracts elsewhere herein, the DBE goal for approved subcontracting work on this project is **fifteen (15) percent** of the total contract bid price. The contractor shall submit DOTD Form OMF-1A (Request to Sublet) and have it approved by the Department before any subcontract work is done on the project. Only those businesses certified by the Department as Disadvantaged Business Enterprises (DBEs) may be utilized in fulfillment of the DBE goal requirement. Such businesses are those certified by the Louisiana Unified Certification Program on the basis of ownership and control by persons found to be socially and economically disadvantaged in accordance with Section 8(a) of the Small Business Act, as amended and Title 49, Code of Federal Regulations, Part 26 (49 CFR 26).

STATE PROJECT NOS. 450-03-0075, 450-30-0073, AND 450-91-0158 SPECIAL PROVISIONS

MAINTENANCE OF TRAFFIC (08/06): Subsection 104.03 of the 2006 Standard Specifications is amended to include the following requirements.

The contractor shall provide for and maintain local traffic at all times and shall also conduct his operations in such manner as to cause the least possible interference with traffic at junctions with roads, streets and driveways. The contractor will not be required to provide for or maintain through traffic.

The contractor shall direct special attention to the maintenance of traffic at entrance and exit ramps particularly when construction operations are being conducted on the adjacent travel lanes of interstate highways. Additional signs, barricades, channelizing devices, etc. shall be provided and maintained by the contractor as directed by the engineer and their cost shall be included in the prices bid on the Temporary Signs and Barricades pay items.

The roadway and shoulders shall remain open to traffic as much as possible during nonwork periods as directed by the engineer. During the period that all lanes are open to traffic, the contractor shall neither store material nor park equipment on roadway shoulders.

PUBLIC CONVENIENCE AND SAFETY (09/05): Subsection 107.07 of the Standard Specifications is amended to include the following.

The procurement of police officers for public safety during construction shall be in accordance with the Department's Policy for Use of Police Officers in Construction/Maintenance Work Zones. The DOTD project engineer shall determine the need for police officers to assist in controlling traffic in a particular work zone. The number of officers needed, the tasks they will perform, and their location within the work zone will vary as a function of the zone type. Police officers shall be placed at strategic locations at times during construction as determined by the DOTD project engineer.

The three types of law enforcement services are Police Presence, Police Enforcement and Police Traffic Control. Police Presence is defined as the use of police officers at the beginning of the active work zone area utilizing their blue lights to gain the attention of drivers. Police Enforcement is utilized when enforcement is required to enhance the safe operation of the work zone. Police Traffic Control is to be used in detour / diversion situations.

The DOTD project engineer will extend an invitation to the appropriate Louisiana State Police (LSP) Troop Commander to attend the pre-construction conference.

Prior to commencing the work on the project, the contractor shall contact the LSP Troop Commander to obtain law enforcement services of police officers during construction. If the LSP Troop is unable to provide law enforcement services for the project work zone, the LSP Troop Commander or the contractor will extend the invitation to the appropriate local law enforcement authorities.

Police officers will report directly to the contractor. However, the contractor will not have the authority to direct the placement of the police officer or the patrol vehicle in situations that are contrary to established procedures and/or could endanger the police officer. The DOTD project engineer will make the final determination on all issues regarding police officer responsibility in work zones.

Prior to the beginning of the shift, the contractor shall provide a daily work zone briefing to the police officer. For major changes in traffic patterns, advanced notification shall be provided to the police agency working the detail. This information should also be provided to the motoring public through the DOTD district and / or the LSP Troop.

STATE PROJECT NOS. 450-03-0075, 450-30-0073, AND 450-91-0158 SPECIAL PROVISIONS

The contractor shall pay for law enforcement services provided by the police officers based on the hourly wage and vehicle rate fee schedule below. The Department will reimburse the contractor monthly for the incurred cost. The contractor shall furnish time record documentation with the request for reimbursement. The provisions of Subsection 109.04 shall not apply to this reimbursement.

The agreed upon fee schedule for police officers in the work zone is as follows:

\$25 per vehicle per day - vehicle use fee

\$40 per hour per officer (one officer per vehicle) (minimum 2 hours).

CONTRACT TIME (03/05): The entire contract shall be completed in all details and ready for final acceptance in accordance with Subsection 105.17(b) within sixty (60) calendar days.

Prior to assessment of contract time, the contractor will be allowed 30 calendar days from the date stipulated in the Notice to Proceed to commence with portions of the contract work including but not limited to assembly periods, preparatory work for materials fabrications such as test piles, or other activities which hinder progress in the beginning stages of construction. Prior to issuance of the Notice to Proceed, the Department will consider extending the assembly period upon written request from the contractor justifying the need for additional time.

The contractor shall be responsible for maintenance of traffic from the beginning of the assembly period. During the assembly period, the contractor will be allowed to do patching and other maintenance work necessary to maintain the roadway with no time charges when approved by the engineer.

If the contractor begins regular construction operations prior to expiration of the assembly period, the assessment of contract time will commence at the time construction operations are begun.

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT SUPPLEMENTAL SPECIFICATIONS

(FOR 2006 STANDARD SPECIFICATIONS)

TABLE OF CONTENTS

SECTION 101 – GENERAL INFORMATION, DEFINITIONS, AND TERMS
Subsection 101.03 – Definitions
SECTION 102 – BIDDING REQUIREMENTS
Subsection 102.09 – Proposal / Bid Guaranty
SECTION 108 – PROSECUTION AND PROGRESS
Subsection 108.04 – Prosecution of Work
SECTION 202 – REMOVING OR RELOCATING
STRUCTURES AND OBSTRUCTIONS
Subsection 202.06 – Plugging or Relocating Existing Water Wells
SECTION 302 – CLASS II BASE COURSE
Subsection 302.05 – Mixing
SECTION 308 – IN-PLACE CEMENT TREATED BASE COURSE
All Subsections
SECTION 502 – SUPERPAVE ASPHALTIC CONCRETE MIXTURES
Subsection 502.02 – Materials
SECTION 704 – GUARD RAIL
Subsection 704.03 – General Construction Requirements
SECTION 713 – TEMPORARY TRAFFIC CONTROL
Subsection 713.06 – Pavement Markings
SECTION 729 – TRAFFIC SIGNS AND DEVICES
Subsection 729-02 – Materials
Subsection 729.04 – Fabrication of Sign Panels and Markers
SECTION 804 – DRIVEN PILES
Subsection 804.08 – Construction Requirements
SECTION 901 – PORTLAND CEMENT CONCRETE
Subsection 901.06 – Quality Control of Concrete
Subsection 901.08 – Composition of Concrete6
SECTION 1003 – AGGREGATES
Subsection 1003.02 – Aggregates for Portland Cement Concrete and Mortar6
SECTION 1005 – JOINT MATERIALS FOR PAVEMENTS AND STRUCTURES
Subsection 1005.04 – Combination Joint Former/Sealer7

Supplemental Specifications – Table of Contents (10/07)

SECTION 1006 – CONCRETE AND PLASTIC PIPE	
Subsection 1006.09 – Plastic Yard Drain Pipe	8
SECTION 1013 – METALS	
Subsection 1013.09 – Steel Piles	8
SECTION 1015 – SIGNS AND PAVEMENT MARKINGS	
Subsection 1015.04 – Sign Panels	8
Subsection 1015.05 – Reflective Sheeting	
Subsection 1015.11 – Preformed Plastic Pavement Marking Tape	12
SECTION 1020 – TRAFFIC SIGNALS	
Subsection 1020.01 – Traffic Signal Heads	13
Subsection 1020.04 – Poles for Traffic Signal Systems	

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT SUPPLEMENTAL SPECIFICATIONS

The 2006 Louisiana Standard Specifications for Roads and Bridges and supplemental specifications thereto are amended as follows.

SECTION 101 – GENERAL INFORMATION, DEFINITIONS, AND TERMS:

Subsection 101.03 – Definitions (07/07), Pages 3 - 13).

Delete the definition for "Proposal/Bid Guaranty" and substitute the following.

Proposal / Bid Guaranty. The required security furnished with a bid. The only form of security acceptable is a Bid Bond.

SECTION 102 – BIDDING REQUIREMENTS:

Subsection 102.09 - Proposal / Bid Guaranty (07/07), Page 19.

Delete the contents of this subsection and substitute the following.

PROPOSAL/BID GUARANTY. Each bid shall be accompanied by a proposal/bid guaranty in an amount not less than five percent of the total bid amount when the bidder's total bid amount as calculated by the Department in accordance with Subsection 103.01 is greater than \$50,000. No proposal/bid guaranty is required for projects when the bidder's total bid amount as calculated by the Department is \$50,000 or less. The official total bid amount for projects that include alternates is the total of the bidder's base bid and all alternates bid on and accepted by the Department. The proposal/bid guaranty submitted by the bidder shall be a bid bond made payable to the contracting agency as specified on the bid bond form provided in the construction proposal. No other form of security will be accepted.

The bid bond shall be on the "Bid Bond" form provided in the construction proposal, on a form that is materially the same in all respects to the "Bid Bond" form provided, or on an electronic form that has received Department approval prior to submission. The bid bond shall be filled in completely, shall be signed by an authorized officer, owner or partner of the bidding entity, or each entity representing a joint venture; shall be signed by the surety's agent or attorney-in-fact; and shall be accompanied by a notarized document granting general power of attorney to the surety's signer. The bid bond shall not contain any provisions that limit the face amount of the bond.

The bid bond will be written by a surety or insurance company that is in good standing and currently licensed to write surety bonds in the State of Louisiana by the Louisiana Department of Insurance and also conform to the requirements of LSA-R.S. 48:253.

All signatures required on the bid bond may be original, mechanical reproductions, facsimiles or electronic. Electronic bonds issued in conjunction with electronic bids must have written Departmental approval prior to use. The Department will make a listing of approved electronic sureties providers on the Bidx.com site.

Supplemental Specifications (October 2007) Page 2 of 14

SECTION 108 – PROSECUTION AND PROGRESS:

Subsection 108.04 – Prosecution of Work (03/05) Pages 74 and 75.

Add the following sentence to the third paragraph of Heading (b).

Should the surety or the Department take over prosecution of the work, the contractor shall remain disqualified for a period of one year from the completion of the project, unless debarment proceedings are instituted.

When the Department of Transportation and Development is not the contracting agency on the project, the second paragraph under Heading (c) is deleted.

SECTION 202 – REMOVING OR RELOCATING STRUCTURES AND OBSTRUCTIONS:

Subsection 202.06 – Plugging or Relocating Existing Water Wells (03/04), Page 105.

Delete the first sentence and substitute the following.

All abandoned wells shall be plugged and sealed at the locations shown on the plans, or as directed by the engineer, in accordance with the "Water Well Rules, Regulations, and Standards, State of Louisiana." This document is available at the Department of Transportation and Development, Water Resources Section, P. O. Box 94245, Baton Rouge, Louisiana 70804-9245. The Water Resource Section's telephone number is (225) 274-4172.

SECTION 302 – CLASS II BASE COURSE:

Subsection 302.05 – Mixing (08/06), Pages 152 and 153.

Delete the first sentence of Subheading (b)(1), In-Place Mixing, and substitute the following.

In-place mixing shall conform to Heading (a)(1) except that the percentage of Type I portland cement required will be 6 percent by volume.

SECTION 308 – IN-PLACE CEMENT TREATED BASE COURSE:

All Subsections within Section 308 – (07/07), Pages 191 – 198.

Whenever the reference to "DOTD TR-432, Method D" is used, it shall mean "DOTD TR-432".

SECTION 502 – SUPERPAVE ASPHALTIC CONCRETE MIXTURES:

Subsection 502.02 - Materials (08/06), Pages 210 - 213.

Delete Table 502-3, Aggregate Friction Rating under Subheading (c)(1) and substitute the following.

Table 502-3
Aggregate Friction Rating

Friction Rating	Allowable Usage		
I	All mixtures		
II	All mixtures		
III	All mixtures, except travel lane wearing courses with plan ADT greater than 7000 ¹		
IV	All mixtures, except travel lane wearing courses ²		

¹ When plan current average daily traffic (ADT) is greater than 7000, blending of Friction Rating III aggregates and Friction Rating I and/or II aggregates will be allowed for travel lane wearing courses at the following percentages. At least 30 percent by weight (mass) of the total aggregates shall have a Friction Rating of I, or at least 50 percent by weight (mass) of the total aggregate shall have a Friction Rating of II. The frictional aggregates used to obtain the required percentages shall not have more than 10 percent passing the No. 8 (2.36 mm) sieve.

SECTION 704 – GUARD RAIL:

Subsection 704.03 – General Construction Requirements (01/05), Pages 368 and 369.

Add the following to Heading (d), Guard Rail End Treatments.

All end treatments shall bear a label indicating the manufacturer and exact product name of the end treatment along with its assigned NCHRP 350 test level. This label shall resist weathering and shall be permanently affixed to the railing in such a way as to be readily visible.

SECTION 713 – TEMPORARY TRAFFIC CONTROL:

Subsection 713.06 – Pavement Markings (08/06), Pages 400 - 403.

Delete Table 713-1, Temporary Pavement Markings and substitute the following.

² When the average daily traffic (ADT) is less than 2500, blending of Friction Rating IV aggregates with Friction Rating I and/or II aggregates will be allowed for travel lane wearing courses at the following percentages. At least 50 percent by weight (mass) of the total aggregate in the mixture shall have a Friction Rating of I or II. The frictional aggregates used to obtain the required percentages shall not have more than 10 percent passing the No. 8 (2.36 mm) sieve.

Supplemental Specifications (October 2007) Page 4 of 14

Table 713-1
Temporary Pavement Markings^{1,2}

	1 emporary ravement warkings					
	Two-lane Highwa		Undivided Multilane Highways	Divided Multilane Highways		
S H O R	ADT<1500; or ADT>1500 and time<3 days	Lane lines 4-foot (1.2 m) tape on 40-foot (12 m) centers; with "Do Not Pass" and "Pass With Care" signs as required				
T T E R	ADT>1500; Time>3 days and<2 weeks	Lane lines 4-foot (1.2-m) tape on 40-foot (12-m) centers with no passing zone markings				
M	All ADT's with time <2 weeks		Lane lines 4-foot (1.2m) tape on 40-foot (12 m) centers; double yellow centerline	foot (1.2 m) tape		
L O N G	All ADT's with time >2 weeks	Standard lane lines, no- passing zone markings, legends and symbols and when pavement width is 22 feet (6.7 m) or	centerlines, edge lines, and legends and	Standard lane lines, centerlines, edge lines, and legends and		
T E R M		greater, edge lines		symbols.		

¹No-passing zones shall be delineated as indicated whenever a project is open to traffic. ²On all Asphaltic Surface Treatments that are open to traffic and used as a final wearing course or as an interlayer, temporary pavement markings (tabs) on 20-foot (6 m) centers shall be used, in lieu of the 4-foot (1.2 m) tape, on 40-foot (12 m) centers.

SECTION 729 – TRAFFIC SIGNS AND DEVICES:

<u>Subsection 729.02 – Materials (04/07), Pages 456 and 457.</u>

Delete the contents of Heading (a), Sign and Marker Sheeting, and substitute the following.

(a) Sign and Marker Sheeting: Sheeting material for sign panels, delineators, barricades and other markers shall comply with Section 1015. All permanent signs shall meet the requirements of ASTM D 4956, Type III, except as follows:

Reflective sheeting for the permanent signs of Table 729-1 shall meet the requirements of ASTM D 4956, Type IX or Type X as modified in Subsection 1015.05.

Table 729-1
Permanent Signs for Use With Type IX or X (modified) Reflective Sheeting

Sign	MUTCD Number
Stop	R1-1
Yield	R1-2
4-Way	R1-3
All Way	R1-4
Do Not Enter	R5-1
Wrong Way	R5-1a
Chevrons	W1-8
No Passing Zone Pennants	W14-3
Type 3 Object Marker	OM-3 (Right & Left)
Type 2 Object Marker	
Guardrail End Decals	

Subsection 729.04, Fabrication of Sign Panels and Markers (04/07), Pages 458 – 460.

Delete the third paragraph of Heading (c), Sheeting Application and substitute the following.

ASTM D 4956 Type IX or X (modified) reflective sheeting shall be applied with an orientation determined by the engineer to obtain the optimum entrance angle performance. Fabricated vertical splices in ASTM D 4956 Type IX or X (modified) reflective sheeting will be allowed only when the horizontal dimension of the sign face or attached shield is in excess of the maximum manufactured width of the sheeting. Fabricated vertical splices in ASTM D 4956 Type IX or X (modified) reflective sheeting will also be allowed when the specified orientation will create excessive sheeting waste.

SECTION 804 – DRIVEN PILES:

Subsection 804.08 – Construction Requirements (04/07), Pages 548 – 554.

Delete the first sentence of Heading (a), Preboring and substitute the following.

Preboring by augering, wet-rotary drilling, or other methods used to facilitate pile driving will not be permitted unless specified in the plans or allowed by the engineer.

Delete the first sentence of Heading (b), Jetting and substitute the following. Jetting will not be permitted unless allowed in the plans or allowed by the engineer.

SECTION 901 – PORTLAND CEMENT CONCRETE:

Subsection 901.06 – Quality Control of Concrete (08/06), Pages 726 – 731.

Add the following to the contents of Heading (b), Quality Control Tests.

The contractor shall be responsible for monitoring the components (cement, mineral and chemical admixtures, aggregates) in their mix to protect against any changes due to component variations. As component shipments arrive, the contractor shall verify slump, air content and set

Supplemental Specifications (October 2007) Page 6 of 14

time by testing at ambient temperatures. The contractor shall make adjustments to the mix design to rectify any changes which would adversely affect constructability, concrete placement or the specifications. The contractor shall submit test results to the Department for review each day of paving. Testing to validate component consistency will be documented on the control logs. Conformance or variation in mix parameters (workability, set times, air content, etc.) shall be noted on the control logs. The contractor shall provide a copy of the proposed testing plan to the engineer for record. Acceptance of the plan does not relieve the contractor's responsibility for consistency.

Subsection 901.08 – Composition of Concrete (12/05), Pages 732 – 734.

Add the following to Heading (a).

The blended cement containing up to 50 percent of grade 100 or grade 120 ground granulated blast-furnace slag must be in compliance with Subsection 1001.04 for portland blast-furnace slag cement.

SECTION 1003 – AGGREGATES:

Subsection 1003.02 – Aggregates for Portland Cement Concrete and Mortar (07/07),

Pages 763 - 766.

Delete the contents of Heading (c), Aggregates for Types B and D Pavements, and substitute the following.

(c) Aggregates for Types B and D Pavements: For the combined aggregates for the proposed portland cement concrete pavement mix, the percent retained based on the dry weight (mass) of the total aggregates shall meet the requirements of Table 1003-1A for the type of pavement specified in the plans. Additionally, the sum of the percents retained on any two adjacent sieves so designated in the table shall be at least 12 percent of the total combined aggregates. The maximum amounts by weight (mass) of deleterious materials for the total aggregate shall be the same as shown in Subsection 1003.02(b).

Table 1003-1A Aggregates for Types B and D Pavements

U.S. Sieve	Metric Sieve	Percent Retained of Total Combined Aggregates	
O.B. Bieve	Wichie Sieve	Paveme	ent Type
		Type B	Type D
2 1/2 inch	63 mm	0	0
2 inch	50 mm	0	0-20
1 1/2 inch	37.5 mm	0-20	0-20
1 inch	25.0 mm	0-20	5-20
3/4 inch	19.0 mm	5-20	5-20
1/2 inch	12.5 mm	5-20	5-20
3/8 inch	9.5 mm	5-20	5-20
No. 4	4.75 mm	5-20	5-20
No. 8	2.36 mm	5-20	5-20
No. 16	1.18 mm	5-20	5-20
No. 30	600 μm	5-20	5-20
No. 50	300 μm	0-20	0-20
No. 100	150 μm	0-20	0-20
No. 200	75 μm	0-5	0-5

Note: For the sieves in the shaded areas, the sum of any two adjacent sieves shall be a minimum of 12 percent of the total combined aggregates.

Each type of aggregate to be used in the proposed mixture shall be sampled and tested individually. The percent of total combined aggregates retained shall be determined mathematically based on the proportions of the combined aggregate blend. All gradation calculations shall be based on percent of dry weight (mass).

SECTION 1005 – JOINT MATERIALS FOR PAVEMENTS AND STRUCTURES:

<u>Subsection 1005.04 – Combination Joint Former/Sealer (11/05), Pages 782 and 783.</u>

Delete Heading (a) and substitute the following.

(a) Description: This joint former/sealer is intended for use in simultaneously forming and sealing a weakened plane in portland cement concrete pavements.

The material shall consist of an elastomeric strip permanently bonded either mechanically or chemically at the top of each of two rigid plastic side frames and covered with a removable plastic top cap. Side frames shall be of such configuration that when the sealer is inserted into plastic concrete and vibrated, a permanent bond forms between side frames and concrete.

Delete Heading (b)(1) and substitute the following.

(1) Elastomer: The elastomer strip portion of the material shall be manufactured from vulcanized elastomeric compound using polymerized chloroprene or thermoplastic vulcanizate as the base polymer, and shall comply with the following requirements:

	ASTM Test	_	
<u>Property</u>	<u>Method</u>	Require	<u>ements</u>
		Polymerized Chloroprene	Thermoplastic Vulcanizate
Tensile Strength, kPa, Min.	D 412	12,400	7,400
Elongation at Break, % Min.	D 412	200	400
Hardness, Shore A	D 2240	65 ± 10	65 ± 10
Properties after Aging, 70 h @ 100°C	D 573		
Tensile Strength, % Loss, Max.		20	20
Elongation, % loss, Max.		25	25
Hardness, pts. increase, Max.		10	10
Ozone Resistance, 20% strain or bentloop,			
300 pphm in air, 70 h @ 40°C	D 1149	no cracks	no cracks
Oil Swell, IRM 903, 70 h			
@ 100°C, wt change, % Max.	D 471	45	75

Delete Headings (b)(2) and (b)(3) and substitute the following:

- (2) Bond of Elastomer to Plastic: The force required to shear the elastomer from the plastic shall be a minimum of 5.0 pounds per linear inch (90 g/mm) of sealer when tested in accordance with DOTD TR 636.
- (3) Bond of Plastic to Cement Mortar: This bond will be evaluated and shall meet the following requirements:

The force required to separate the cement mortar from the plastic shall be a minimum of 5.0 pounds per linear inch (90 g/mm) of sealer when tested in accordance with DOTD TR 636.

SECTION 1006 – CONCRETE AND PLASTIC PIPE:

Subsection 1006.09 – Plastic Yard Drain Pipe (06/07), Page 789.

Delete the contents of Subheading (a)(3), Ribbed Polyvinyl Chloride Pipe (RPVCP) and substitute the following.

Ribbed Polyvinyl Chloride Pipe (RPVCP): Ribbed Polyvinyl Chloride Pipe shall comply with ASTM F 794, Series 46 or ASTM F 949 (46 psi).

SECTION 1013 – METALS:

<u>Subsection 1013.09 – Steel Piles (08/06) Page 822.</u>

Delete the title and references to "Steel Piles" in this subsection and substitute "Steel H Piles".

SECTION 1015 – SIGNS AND PAVEMENT MARKINGS:

Subsection 1015.04 – Sign Panels (05/07), Pages 832 and 833.

Delete the contents of Heading (a), Permanent Sign Panels and substitute the following.

Supplemental Specifications (October 2007) Page 9 of 14

(a) Permanent Sign Panels: Flat panels shall be aluminum sheets or plates complying with ASTM B 209, Alloy 6061-T6 or Alloy 5052-H38. Extruded aluminum panels shall comply with ASTM B 221 (ASTM B 221M), Alloy 6063-T6 and after fabrication, have a flatness equal to or less than 0.031 inch per foot of length and 0.004 inch per inch of width.

Subsection 1015.05 - Reflective Sheeting (05/07), Pages 833 – 838.

Add the following to Heading (a), Permanent and Temporary Standard Sheeting.

- Type X (Modified) (White, Yellow, Red) A super high-intensity retroreflective sheeting having highest retroreflectivity characteristics at medium distances. This sheeting is typically an unmetalized microprismatic retroreflective element material. This material shall meet the requirements of ASTM D 4956 Type X except as modified below.
- (1) Retroreflectivity: Minimum Coefficients of Retroreflection for Type X (Modified) White, Yellow, and Red sheeting shall be as specified in Table 1015-a.

Table 1015-a
Coefficients of Retroreflection for Type X (Modified) Sheeting¹

Observation Angle, degrees	Entrance Angle, degrees	White	Yellow	Red
0.2	-4	600	450	90
0.2	+30	300	225	45
0.5	-4	240	180	36
0.5	+30	120	90	18

¹Minimum Coefficient of Retroreflection (R_A) (cd lx⁻¹m⁻²)

Heading (d), Accelerated Weathering.

Delete Table 1015-3, Accelerated Weathering Standards and substitute the following.

Supplemental Specifications (October 2007) Page 10 of 14

Table 1015-3 Accelerated Weathering Standards¹

Accelerated Weathering Standards						
Туре		Retrore	flectivity ²	Colo	Colorfastness ³	
Туре	Ora	Orange All colors, except orange		Orange	All colors, except orange	
III	1 year	80 ⁴	3 years	80 ⁴	1 year	3 years
III (for drums)	1 year	80 ⁴	1 year	80 ⁴	1 year	1 year
VI	1/2 year	50 ⁵	1/2 year	50 ⁵	1/2 year	1/2 year
IX	Not	used	3 years	80 ⁶	Not used	3 years
X (Fluorescent Orange)	1 year	80 ⁷	Not used		1 year	Not used
X (Modified)	Not	used	3 years	80 ⁸	Not used	3 years

¹At an angle of 45° from the horizontal and facing south in accordance with ASTM G 7 at an approved test facility in Louisiana or South Florida.

Heading (e), Performance.

Delete Table 1015-4, Reflective Sheeting Performance Standards and substitute the following.

²Percent retained retroreflectivity of referenced table after the outdoor test exposure time specified.

³Colors shall conform to the color specification limits of ASTM D 4956 after the outdoor test exposure time specified.

⁴ASTM D 4956, Table 8.

⁵ASTM D 4956, Table 13.

⁶ASTM D 4956, Table 3.

⁷ASTM D 4956, Table 4.

⁸ DOTD Standard Specifications, Table 1015-a.

Table 1015-4
Reflective Sheeting Performance Standards

	Re	troreflectiv			
Туре	Orange		All colors	_	Colorfastness ³
III	3 years	80 ⁴	10 years	80 ⁴	3 years
IX	Not used		7 years	80 ⁵	3 years
X (Fluorescent. Orange)	3 years	80 ⁶	Not used		3 years
X (Modified)	Not used		7 years	80 ⁷	3 years

¹Percent retained retroreflectivity of referenced table after installation and the field exposure time specified.

Heading (g), Sheeting Guaranty.

Delete Table 1015-5, Manufacturer's Guaranty-Reflective Sheeting and substitute the following.

²All sheeting shall maintain its structural integrity, adhesion and functionality after installation and the field exposure time specified.

³All colors shall conform to the color specification limits of ASTM D4956 after installation and the field exposure time specified.

⁴ASTM D4956, Table 8.

⁵ASTM D 4956, Table 3.

⁶ASTM D 4956, Table 4.

⁷ DOTD Standard Specifications, Table 1015-a.

Table 1015-5
Manufacturer's Guaranty-Reflective Sheeting

Туре	sign face in its for original effective the Department	ield location to its eness at no cost to if failure occurs	Manufacturer shall replace the sheeting required to restore the sign face to its original effectiveness at no cost to the Department if failure occurs during the time period as specified below
	Orange	All colors, except orange	All colors, except orange
III	<3 years	<7 years	7-10 years
IX	Not used	<5 years	5-7 years
X (Fluorescent Orange)	<3 years	Not used	Not used
X (Modified)	Not used	< 5 years	5-7 years

¹From the date of sign installation.

Subsection 1015.11 - Preformed Plastic Pavement Marking Tape (06/07), Pages 842 – 844.

Delete the contents of this subsection and substitute the following.

1015.11 PREFORMED PLASTIC PAVEMENT MARKING TAPE.

- (a) General: Preformed plastic pavement marking tape shall be approved products listed on QPL 64 and shall comply with ASTM D4505 Retroreflectivity Level I or Level II, or DOTD Intersection Grade (as specified below), except as modified herein. The marking tape shall be Class 2 or 3. The type and color shall be in accordance with the plans and the MUTCD.
- (b) Thickness: All preformed plastic pavement marking tape shall have a minimum overall thickness of 0.060 inches (1.5 mm) when tested without the adhesive.
- (c) Friction Resistance: The surface of the Retroreflectivity Level II preformed plastic pavement marking tape shall provide a minimum frictional resistance value of 35 British Polish Number (BPN) when tested according to ASTM E303. The surface of the Retroreflectivity Level I and DOTD Intersection Grade preformed plastic pavement marking tape shall provide a minimum frictional resistance value of 45 BPN when tested according to ASTM E303. Values for the Retroreflectivity Level I material with a raised surface pattern as defined in ASTM D4505 are calculated by averaging values taken at downweb and at a 45 degrees angle from downweb.
- (d) Retroreflective Requirements: The preformed plastic pavement marking tape shall have the minimum initial specific luminance values shown in Table 1015-7 when measured in accordance with ASTM D 4061.

Table 1015-7
Specific Luminance of Preformed Plastic Tape

	Observation	Entrance	Specific Luminance (mcd/sq m/lx)	
Type	Angle, degrees	Angle, degrees	White	Yellow
Retroreflectivity Level I	1.05	88.76	500	300
DOTD Intersection Grade	1.05	88.76	375	250
Retroreflectivity Level II	1.05	88.76	250	175

(e) Durability Requirements: The DOTD Intersection Grade preformed plastic pavement marking tape shall show no appreciable fading, lifting or shrinkage for a least 12 months after placement when placed in accordance with the manufacturer's recommended procedures on pavement surfaces having a daily traffic count not to exceed 15,000 ADT per lane.

The Retroreflectivity Level I preformed plastic pavement marking tape shall show no appreciable fading, lifting or shrinkage for a least 4 years after placement for longitudinal lines and at least 2 years after placement for symbols and legends.

The Retroreflectivity Level I preformed plastic pavement marking tape shall also retain the following reflectance values for the time period detailed in Table 1015-8.

Table 1015-8
Retained Specific Luminance for Retroreflectivity Level I
Preformed Plastic Pavement Marking Tape

			Specific Luminance	
	Observation	Entrance	(mcd/sq m/lx)	
<u>Time</u>	Angle, degrees	Angle, degrees	White	<u>Yellow</u>
1 year	1.05	88.76	400	240
4 years (2 years for symbols and legend)	1.05	88.76	100	100

(f) Plastic Pavement Marking Tape Guaranty (DOTD Intersection Grade and Retroreflectivity Level I): If the plastic pavement marking tape fails to comply with the performance and durability requirements of this subsection within 12 months for DOTD Intersection Grade and 4 years for Retroreflectivity Level I, the manufacturer shall replace the plastic pavement marking material at no cost to the Department.

SECTION 1020 - TRAFFIC SIGNALS:

Subsection 1020.01 – Traffic Signal Heads (06/07), Pages 873 – 884.

Delete the contents of Heading (a), General Requirements and substitute the following.

(a) General Requirements: Traffic signal sections, beacon sections and pedestrian signal sections shall be of the adjustable type. Materials and construction of each section shall be the same.

Signals shall be constructed for either 8 or 12-inch (200 mm or 300 mm) lens in accordance with the plans. Signal sections shall have three to five sections per face and beacon

Supplemental Specifications (October 2007) Page 14 of 14

sections have only one section per face. Signal sections and associated brackets shall be finished inside and out with two coats of high grade dark olive green enamel, color number 14056 according to Federal Standard No. 595b with each coat independently baked. Visors shall be coated green on the outside and black on the inside. Edges shall be deburred and smooth with no sharp edges.

<u>Subsection 1020.04 – Poles for Traffic Signal Systems (06/07)</u>, Pages 890 – 894.

Delete the sixth paragraph of Heading (a), Pedestal Support Signal Poles, and substitute the following.

Pedestals shall be finished with at least one coat of rustproofing primer, applied to a clean surface and one coat of dark olive green enamel, color number 14056 according to Federal Standard No. 595b.

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT SUPPLEMENTAL SPECIFICATIONS

FEMALE AND MINORITY PARTICIPATION IN CONSTRUCTION

The following notice shall be included in, and shall be a part of, all solicitations for offers and bids on all federal and federally assisted construction contracts or subcontracts in excess of \$10,000 to be performed in geographical areas designated by the director of OFCCP. Execution of the contract by the successful bidder and any subsequent subcontracts will be considered the contractor's and subcontractor's commitment to the EEO provisions contained in this notice.

NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
- 2. The goals for minority and female participation, expressed in percentage terms for the contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

AREA	PARISH OR COUNTY	GOAL (%)				
	FEMALE PARTICIPATION					
-	All Covered Areas	6.9				
	MINORITY PARTICIPATION (UNDER NEW ORLEANS PLAN)					
-	* See Note Below	20 to 23				
MINORITY PARTICIPATION (NOT UNDER NEW ORLEANS PLAN)						
1	Jefferson LA, Orleans LA, St. Bernard LA, St. Tammany LA	31.0				
2	Assumption LA, Lafourche LA, Plaquemines LA, St. Charles LA, St. James LA, St. John the Baptist LA, Tangipahoa LA, Terrebonne LA, Washington LA, Forrest MS, Lamar MS, Marion MS, Pearl River MS, Perry MS, Pike MS, Walthall MS	27.7				
3	Ascension LA, East Baton Rouge LA, Livingston LA, West Baton Rouge, LA	26.1				
4	Concordia LA, East Feliciana LA, Iberville, LA, Pointe Coupee LA, St. Helena LA, West Feliciana LA, Adams MS, Amite MS, Wilkinson, MS	30.4				
5	Lafayette LA	20.6				
6	Acadia LA, Evangeline LA, Iberia LA, St. Landry LA, St. Martin LA, St. Mary LA, Vermillion LA	24.1				
7	Calcasieu LA	19.3				
8	Allen LA, Beauregard LA, Cameron LA, Jefferson Davis LA, Vernon LA	17.8				
9	Grant LA, Rapides LA	25.7				
10	Avoyelles LA, Bienville LA, Bossier LA, Caddo LA, Claiborne LA, DeSoto LA, Natchitoches LA, Red River LA, Sabine LA, Webster LA, Winn LA	29.3				
11	Ouachita LA	22.8				
12	Caldwell LA, Catahoula LA, East Carroll LA, Franklin LA, Jackson LA, LaSalle LA, Lincoln LA, Madison LA, Morehouse LA, Richland LA, Tensas LA, Union LA, West Carroll LA,	27.9				

01/83 OFCCP 41 CFR 60-4 (Required FHWA Provisions) Page 2 of 8

*These goals apply only to those contractors signatory to the New Orleans Plan and only with respect to those trades which have unions participating in said Plan. The New Orleans Plan Covered Area is as follows: The parishes of Orleans, Jefferson, St. Bernard, St. Tammany, St. Charles, St. John the Baptist, Plaquemines, Washington, Terrebonne, Tangipahoa (that area east of the Illinois Central Railroad), Livingston (that area southeast of the line from a point off the Livingston and Tangipahoa Parish line adjacent from New Orleans and Baton Rouge), St. James (that area southeast of a line drawn from the Town of Gramercy to the point of intersection of St. James, Lafourche and Assumption Parishes), and Lafourche.

These goals are applicable to all the contractor's construction work (whether or not it is federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor is also subject to the goals for both its federally involved and non-federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from contractor to contractor, or from project to project, for the purpose of meeting the contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The contractor shall provide written notification to the Regional Administrator of the Office of Federal Contract Compliance Programs (555 Griffin Square Building, Dallas, TX 75202) within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract. The notification shall list the name, address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and geographical area in which the contract is to be performed.
- 4. As used in this Notice and in the contract, the "covered area" is that area shown in the foregoing table in which the project is located.

The following Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246) shall be included in, and shall be a part of, all solicitations for offers and bids on all federal and federally assisted construction contracts or subcontracts in excess of \$10,000. Execution of the contract by the successful bidder and any

subsequent subcontracts will be considered the contractor's and subcontractor's commitment to the EEO provisions contained in these Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246).

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

- 1. As used in these specifications:
 - a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
 - b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
 - c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U. S. Treasury Department Form 941.
 - d. "Minority" includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- 2. If the contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, he shall include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation.
- 3. If the contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each contractor or subcontractor participating in an approved Plan is required to comply with his obligations under the EEO clause, and to make good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other contractor or subcontractors toward a goal in an

01/83 OFCCP 41 CFR 60-4 (Required FHWA Provisions) Page 4 of 8

approved Plan does not excuse any covered contractor's or subcontractor's failure to take good faith efforts to achieve the Plan goals.

- 4. The contractor shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in geographical areas where they do not have a federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any OFCCP office or from federal procurement contracting officers. The contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.
- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the contractor has a collective bargaining agreement, to refer either minorities or women, shall excuse the contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the contractor during the training period, and the contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U. S. Department of Labor.
- 7. The contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the contractor's compliance with these specifications will be based on his effort to achieve maximum results from its actions. The contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a. Ensure and maintain a working environment free of harassment, intimidation and coercion at all sites, and in all facilities at which the contractor's employees are assigned to work. The contractor, where possible, will assign 2 or more women to each construction project. The contractor shall ensure that all foremen, superintendents and other on-site supervisory personnel are aware of and carry out the contractor's obligation to maintain such a working environment with specific attention to minority or female individuals working at such sites or in such facilities.
 - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to

- community organizations when the contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the contractor by the union or, if referred, not employed by the contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the contractor has taken.
- d. Provide immediate written notification to the Director when the union or unions with which the contractor has a collective bargaining agreement has not referred to the contractor a minority person or woman set by the contractor, or when the contractor has other information that the union referral process has impeded the contractor's efforts to meet its obligations.
- e. Develop on-the-job training opportunities and/or participate in training programs for the area which include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the contractor's employment needs, especially those programs funded or approved by the Department of Labor. The contractor shall provide notice of these programs to the sources compiled under 7b above.
- f. Disseminate the contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the contractor in meeting his EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as superintendent, general foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the contractor's EEO policy externally by including it in ny advertising in the news media, including minority and female news media, and providing written notification to and discussing the contractor's EEO policy with other contractors and subcontractors with whom the contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the contractor's recruitment area and employment needs. Not later than 1 month prior to the date for the acceptance of

01/83 OFCCP 41 CFR 60-4 (Required FHWA Provisions) Page 6 of 8

- applications for apprenticeship or other training by any recruitment source, the contractor shall send written notification to organizations such as the above describing the openings, screening procedures and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women, and where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a contractor's workforce.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR 60-3.
- 1. Conduct, at least annually, an inventory and evaluation of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the contractor's obligations under these specifications are being carried out.
- n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling its obligations under 7a through 7p of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the contractor's minority and female workforce participation, makes a good faith effort to meet his goals and timetables and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the contractor. The obligation to comply, however, is the contractor's and failure of such a group to fulfill an obligation shall not be a defense for the contractor's noncompliance.
- 9. A goal for minorities and a separate goal for women have been established. The contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the contractor may be in violation of the Executive Order if a group is employed

in a substantially disparate manner (for example, even though the contractor has achieved its goals for women generally, the contractor may be in violation of the Executive Order if a minority group of women in underutilized).

- 10. The contractor shall not use the goals or affirmative action standards to discriminate against any person because of race, color, religion, sex or national origin.
- 11. The contractor shall not enter into a subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- 12. The contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- 13. The contractor, in fulfilling his obligations under these specifications, shall implement specific affirmative actions steps, at least as extensive as the standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the contractor fails to comply with the requirements of the Executive Order, the implementing regulations or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
- 14. The contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors will not be required to maintain separate records.
- 15. Nothing herein shall be construed as a limitation on the application of other laws which establish different standards of compliance or on the application of requirements for hiring of local or other area residents (e.g., those under the Pubic Works Employment Act of 1977 and the Community Development Block Grant Program).
- 16. In addition to the reporting requirements set forth elsewhere in this contract, the contractor and subcontractors holding subcontracts (not including material suppliers) in excess of \$10,000

01/83 OFCCP 41 CFR 60-4 (Required FHWA Provisions) Page 8 of 8

shall submit for every month of July during which work is performed, employment data as contained under Form FHWA-1391 in accordance with instructions included thereon.

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT SUPPLEMENTAL SPECIFICATIONS

SPECIFIC EQUAL EMPLOYMENT OPPORTUNITY RESPONSIBILITIES

1. General

- a. Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal employment opportunity as required by Executive Orders 11246 and 11375 are set forth in Required Contract Provisions (Form FHWA-1273) and these Supplemental Specifications which are imposed pursuant to Section 140 of Title 23, U.S.C., as established by Section 22 of the Federal Aid Highway Act of 1968. The requirements set forth herein shall constitute the specific affirmative action requirements for project activities under this contract and supplement the EEO requirements set forth in the Required Contract Provisions.
- b. The contractor shall work with the Department and the Federal Government in carrying out EEO obligations and in their review of his activities under the contract.
- c. The contractor and all his subcontractors holding subcontracts not including material suppliers, of \$10,000 or more, shall comply with the following minimum specific requirement activities of EEO. The EEO requirements of Executive Order 11246, as set forth in the Federal-Aid Policy Guide 23 CFR 230A, are applicable to material suppliers as well as contractors and subcontractors. The contractor shall include these requirements in every subcontract of \$10,000 or more with such modification of language as necessary to make them binding on the subcontractor.

2. EEO Policy

The contractor shall accept as his operating policy the following statement which is designed to further the provision of EEO to all persons without regard to their race, color, religion, sex or national origin, and to promote the full realization of EEO through a positive continuing program:

It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color or national origin. Such action shall include employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship and on-the-job training.

06/84 FAPG 23 CFR 230A Appendix A Page 2 of 6

3. EEO Officer

The contractor shall designate and make known to the Department an EEO Officer who shall have the responsibility for and must be capable of effectively administering and promoting an active contractor EEO program and who must be assigned adequate authority and responsibility to do so.

4. Dissemination of Policy

- a. All members of the contractor's staff who are authorized to hire, supervise, promote and discharge employees, or who recommend such action, or who are substantially involved in such action, shall be made fully cognizant of and shall implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions shall be taken as a minimum:
- (1) Periodic meetings of supervisory and personnel office employees shall be conducted before the start of work and then at least once every 6 months, at which time the contractor's EEO policy and its implementation shall be reviewed and explained. The meetings shall be conducted by the EEO Officer or other knowledgeable company official.
- (2) All new supervisory or personnel office employees shall be given a thorough indoctrination by the EEO Officer or other knowledgeable company official covering all major aspects of the contractor's EEO obligations within 30 days after their reporting for duty with the contractor.
- (3) All personnel who are engaged in direct recruitment for the project shall be instructed by the EEO Officer or appropriate company official in the contractor's procedures for locating and hiring minority group employees.
- b. To make the contractor's EEO policy known to all employees, prospective employees and potential sources of employees, i.e., schools, employment agencies, labor unions (where appropriate), college placement officers, etc., the contractor shall take the following actions:
- (1) Notices and posters setting forth the contractor's EEO policy shall be placed in areas readily accessible to employees, applicants for employment and potential employees.
- (2) The contractor's EEO policy and the procedures to implement such policy shall be brought to the attention of employees by means of meetings, employee handbooks or other appropriate means.

06/84 FAPG 23 CFR 230A Appendix A Page 3 of 6

5. Recruitment

- a. When advertising for employees, the contractor shall include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements shall be published in newspapers or other publications having a large circulation among minority groups in the area from which the project work force would normally be derived.
- b. The contractor shall, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants, including, but not limited to, State employment agencies, schools, colleges and minority group organizations. To meet this requirement, the contractor shall, through his EEO Officer, identify sources of potential minority group employees and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

If the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with equal employment opportunity contract provisions. (The U.S. Department of Labor has held that where implementation of such agreements has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor shall encourage his present employees to refer minority group applicants for employment by posting appropriate notices or bulletins in areas accessible to all such employees. In addition, information and procedures with regard to referring minority group applicants shall be discussed with employees.

6. Personnel Actions

Wages, working conditions and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff and termination, shall be taken without regard to race, color, religion, sex or national origin. The following procedures shall be followed.

- a. The contractor shall conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor shall periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

06/84 FAPG 23 CFR 230A Appendix A Page 4 of 6

- c. The contractor shall periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor shall promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor shall promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, shall attempt to resolve such complaints, and shall take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor shall inform every complainant of all of his avenues of appeal.

7. Training and Promotion

- a. The contractor shall assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship and job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. If the Supplemental Specifications for Job Training are provided under this contract, this subparagraph will be superseded as indicated in Attachment 2.
- c. The contractor shall advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor shall periodically review the training and promotion potential of minority group and women employees and shall encourage eligible employees to apply for such training and promotion.

8. Unions

If the contractor relies in whole or in part upon unions as a source of employees, the contractor shall use his best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent shall include the procedures set forth below:

06/84 FAPG 23 CF4 230A Appendix A Page 5 of 6

- a. The contractor shall use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
- b. The contractor shall use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex or national origin.
- c. The contractor shall obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the Department and shall set forth what efforts have been made to obtain such information.
- d. If the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor shall, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex or national origin, making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The U.S. Department of Labor has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) If the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these specifications, such contractor shall immediately notify the Department.

9. Subcontracting

- a. The contractor shall use his best efforts to solicit bids from and utilize minority group subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of minority-owned construction firms from the Department.
- b. The contractor shall use his best efforts to ensure subcontractor compliance with their EEO obligations.

10. Records and Reports

a. The contractor shall keep such records as necessary to determine compliance with the contractor's EEO obligations. The records kept by the contractor shall indicate:

06/84 FAPG 23 CFR 230A Appendix A Page 6 of 6

- (1) the number of minority and nonminority group members and women employed in each work classification on the project,
- (2) the progress and efforts being made in cooperation with unions to increase employment opportunities for minorities and women (applicable only to contractors who rely in whole or in part on unions as a source of their work force),
- (3) the progress and efforts being made in locating, hiring, training, qualifying and upgrading minority and female employees, and
- (4) the progress and efforts being made in securing the services of minority group subcontractors with meaningful minority and female representation among their employees.
- b. All such records must be retained for a period of 3 years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the Department and the Federal Highway Administration.
- c. The contractor shall submit an annual report to the Department each July for the duration of the project, indicating the number of minority, women and nonminority group employees currently engaged in each work classification required by the contract work. This information shall be reported on Form PR-1391. If job training is required, the contractor shall furnish Form DOTD 03-37-0014.

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

	Page	,
I.	General	
II.	Nondiscrimination1	
III.	Nonsegregated Facilities	
IV.	Payment of Predetermined Minimum Wage3	
V.	Statements and Payrolls6	
VI.	Record of Materials, Supplies, and Labor7	
VII.	Subletting or Assigning the Contract7	
VIII.	Safety: Accident Prevention7	
IX.	False Statements Concerning Highway Projects7	
X.	Implementation of Clean Air Act and Federal	
	Water Pollution Control Act8	
XI.	Certification Regarding Debarment, Suspension,	
	Ineligibility, and Voluntary Exclusion8	
XII.	Certification Regarding Use of Contract	
	Funds for Lobbying10	

ATTACHMENTS

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

I. GENERAL

- 1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
- 3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
- 4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2; Section IV, paragraphs 1, 2, 3, 4, and 7; Section V, paragraphs 1 and 2a through 2g.

- 5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
- 6. Selection of Labor: During the performance of this contract, the contractor shall not:
- a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts when applicable, as specifical ID FO be siver at horough indestrination by the EEO Officer, covering all in Attachment A), or

b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- 1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

- 2. EEO Officer: The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
- Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
 - b. All new supervisory or personnel office employees will

major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
- 4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.
- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
- c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.
- 5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the

discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. Training and Promotion:

- a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.
- 7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:
- a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
- b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

- d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.
- 8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.
- a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
- b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
- c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.
- 9. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.
- a. The records kept by the contractor shall document the following:
- (1) The number of minority and non-minority group members and women employed in each work classification on the project;
- (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
- (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
- (4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.
- b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If

on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.
- b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).
- c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

- b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.
- c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

- a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.
- b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:
- (1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
- (2) the additional classification is utilized in the area by the construction industry;
- (3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (4) with respect to helpers, when such a classification prevails in the area in which the work is performed.
- c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

- d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary
- e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

- a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.
- b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

- (1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
- (2) The allowable ratio of apprentices to journeymanlevel employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage

rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize

trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under a approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated

damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

- a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
- b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of

apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

- c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
- d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
- (2) that such laborer or mechanic (including each apprentice, trainee, and helper) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3:
- (3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.
- e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
- f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
- g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

- 1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:
- a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.
- b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.
- c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.
- 2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

VII. SUBLETTING OR ASSIGNING THE CONTRACT

- 1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).
- a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.
- 2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering

services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).
- 3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

Notice to all Personnel engaged on Federal-Aid Highway Projects

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented:

Shall be fined not more that \$10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 92-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
- 2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
- 3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
- 4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.
- XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

- 1. Instructions for Certification Primary Covered Transactions: (Applicable to all Federal-aid contracts 49 CFR 29)
- a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
- d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

- i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

- 1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Covered Transactions: (Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction

originated may pursue available remedies, including suspension and/or debarment.

- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

04/93 (Rev. 05/94) Required Contract Provisions Page 10 of 10

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any

Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- 3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT

REQUIRED CONTRACT PROVISIONS FOR DBE PARTICIPATION IN FEDERAL AID CONSTRUCTION CONTRACTS (DBE GOAL PROJECT)

- A. AUTHORITY AND DIRECTIVE: The Code of Federal Regulations, Title 49, Part 26 (49 CFR Part 26) as amended and the Louisiana Department of Transportation and Development's (DOTD) Disadvantaged Business Enterprise (DBE) Program are hereby made a part of and incorporated by this reference into this contract. Copies of these documents are available, upon request, from DOTD Compliance Programs Office, P. O. Box 94245, Baton Rouge, LA 70804-9245.
- **B. POLICY:** It is the policy of the DOTD that it shall not discriminate on the basis of race, color, national origin, or sex in the award of any United States Department of Transportation (US DOT) financially assisted contracts or in the administration of its DBE program or the requirements of 49 CFR Part 26. The DOTD shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of US DOT assisted contracts. The DBE program, as required by 49 CFR Part 26 and as approved by US DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification of failure to carry out the approved DBE program, the US DOT may impose sanctions as provided for under 49 CFR Part 26 and may in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C.3801 et seq.).
- C. DBE OBLIGATION: The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of US DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the DOTD deems appropriate.

The preceding policy and DBE obligation shall apply to this contract and shall be included in the requirements of any subcontract. Failure to carry out the requirements set forth therein shall constitute a breach of contract and, after notification by DOTD, may result in termination of the contract, a deduction from the contract funds due or to become due the contractor or other such remedy as DOTD deems appropriate. The contractor is encouraged to use the services offered by banks in the community which are owned and controlled by minorities or women when feasible and beneficial. The term DBE is inclusive of women business enterprises (WBE) and all obligations applicable to DBE shall apply to firms certified and listed as WBE.

- D. FAILURE TO COMPLY WITH DBE REQUIREMENTS: All contractors and subcontractors are hereby advised that failure to carry out the requirements set forth above shall constitute a breach of contract and, after notification by DOTD may result in rejection of the bid; termination of the contract; a deduction from the contract funds due or to become due the contractor; or other such remedy as DOTD deems appropriate. Failure to comply with the DBE requirements shall include but not be limited to failure to meet the established goal and/or failure to submit documentation of good faith efforts; failure to exert a reasonable good faith effort (as determined by DOTD) to meet established goals; and failure to realize the DBE participation set forth on approved Form CS-6AAA and attachments. Failure to submit Form CS-6AAA and attachments and/or reasonable good faith efforts' documentation within the specified time requirements will result in the Department taking the actions specified in Heading G(6) below. The utilization of DBE is in addition to all other equal opportunity requirements of the contract. The contractor shall include the provisions in Sections B, C and D of these provisions in subcontracts so that such provisions will be binding upon each subcontractor, regular dealer, manufacturer, consultant, or service agency.
- E. ELIGIBILITY OF DBE: The DOTD has included as part of the solicitation of bids a current list containing the names of firms that have been certified as eligible to participate as DBE on US DOT assisted contracts. This list is

not an endorsement of the quality of performance of the firm but is simply an acknowledgment of the firm's eligibility as a DBE. This list indicates the project numbers and letting date for which this list is effective. Only DBE listed on this list may be utilized to meet the established DBE goal for these projects.

- F. COUNTING DBE PARTICIPATION TOWARD DBE GOALS: DBE participation toward attainment of the goal will be credited on the basis of total subcontract prices agreed to between the contractor and subcontractors for the contract items or portions of items being sublet as reflected on Form CS-6AAA and attachments, in accordance with the DOTD DBE Program, and the following criteria.
 - (1) Credit will only be given for use of DBE that are certified by the Louisiana Unified Certification Program. Certification of DBE by other agencies is not recognized.
 - (2) The total value of subcontracts awarded for construction and services to an eligible DBE is counted toward the DBE goal provided the DBE performs a commercially useful function. The contractor is responsible for ensuring that the goal is met using DBE that perform a commercially useful function.

The contractor shall operate in a manner consistent with the guidelines set forth in the DOTD DBE Program. A commercially useful function is performed when a DBE is responsible for the execution of a distinct element of work by actually managing, supervising, and performing the work in accordance with standard industry practices except when such practices are inconsistent with 49 CFR Part 26 as amended, and the DOTD DBE Program, and when the DBE receives due compensation as agreed upon for the work performed. To determine whether a DBE is performing a commercially useful function, the DOTD shall evaluate the work subcontracted in accordance with the DOTD DBE Program, industry practices and other relevant factors. When an arrangement between the contractor and the DBE represents standard industry practice, if such arrangement erodes the ownership, control or independence of the DBE, or fails to meet the commercially useful function requirement, the contractor will not receive credit toward the goal.

- (3) A DBE prime contractor may count only the contract amount toward DBE participation for work he/she actually performs and for which he/she is paid. Any subcontract amounts awarded to certified DBE by a DBE prime will also be credited toward DBE participation provided the DBE subcontractor performs a commercially useful function.
- (4) A contractor may count toward the DBE goal 100 percent of verified delivery fees paid to a DBE trucker. The DBE trucker must manage and supervise the trucking operations with its own employees and use equipment owned by the DBE trucker. No credit will be counted for the purchase or sale of material hauled unless the DBE trucker is also a DOTD certified DBE supplier. No credit will be counted unless the DBE trucker is an approved subcontractor.
- (5) A contractor may count toward the DBE goal that portion of the dollar value with a joint venture equal to the percentage of the ownership and control of the DBE partner in the joint venture. Such crediting is subject to a favorable DOTD review of the joint venture agreement to be furnished by the apparent low bidder before award of the contract. The joint venture agreement shall include a detailed breakdown of the following:
 - a. Contract responsibility of the DBE for specific items of work.
 - b. Capital participation by the DBE.
 - c. Specific equipment to be provided to the joint venture by the DBE.
 - d. Specific responsibilities of the DBE in the control of the joint venture.
 - e. Specific manpower and skills to be provided to the joint venture by the DBE.
 - f. Percentage distribution to the DBE of the projected profit or loss incurred by the joint venture.

- (6) A contractor may count toward the DBE goal only expenditures for materials and supplies obtained from DBE suppliers and manufacturers in accordance with the following:
 - a. The DBE supplier assumes actual and contractual responsibility for the provision of materials and supplies.
 - b. The contractor may count 100 percent of expenditures made to a DBE manufacturer provided the DBE manufacturer operates or maintains a factory or establishment that produces on the premises the materials or supplies obtained by the contractor.
 - c. The contractor may count 60 percent of the expenditures to DBE suppliers who are regular dealers but not manufacturers, provided the DBE supplier performs a commercially useful function in the supply process including buying the materials or supplies, maintaining an inventory, and selling materials regularly to the public. Dealers in bulk items such as steel, cement, aggregates and petroleum products are not required to maintain items in stock, but they must own or operate distribution equipment. The DBE supplier shall be certified as such by DOTD.
 - d. A DBE may not assign or lease portions of its supply, manufactured product, or service agreement without the written approval of the DOTD.
- (7) A contractor may count toward the DBE goal reasonable expenditures to DBE firms including fees and commissions charged for providing a bona fide service; fees charged for hauling materials unless the delivery service is provided by the manufacturer or regular dealer as defined above; and fees and commissions for providing any bonds or insurance specifically required for the performance of the contract.
- (8) The contractor will not receive credit if the contractor makes direct payment to the material supplier. However, it may be permissible for a material supplier to invoice the contractor and DBE jointly and be paid by the contractor making remittance to the DBE firm and material supplier jointly. Prior approval by DOTD is required.
- (9) The contractor will not receive credit toward the DBE goal for any subcontracting arrangement contrived to artificially inflate the DBE participation.
- G. AWARD DOCUMENTATION AND PROCEDURE: This project has specific DBE goal requirements set forth in the Special Provision for DBE Participation in Federal Aid Construction Contracts. The bidder by signing this bid certifies that:
 - (1) The goal for DBE participation prescribed in the special provisions shall be met or exceeded and arrangements have been made with certified DBE or good faith efforts made to meet the goal will be demonstrated.
 - (2) Affirmative actions have been taken to seek out and consider DBE as potential subcontractors. Bidders shall contact DBE to solicit their interest, capability, and prices in sufficient time to allow them to respond effectively, and shall retain, on file, proper documentation to substantiate their good faith efforts.
 - (3) Form CS-6AAA and "Attachment to Form CS-6AAA" and, if necessary, documentation of good faith efforts shall be submitted within 10 business days following the opening of bids to the <u>DOTD Compliance Programs Office</u>. Submittals shall be personally delivered and date and time stamped into the DOTD Compliance Programs Office by the close of business, 10 business days after opening of bids; or mailed to the DOTD Compliance Programs Office by certified mail, return receipt requested and post marked by the 10th business day after the opening of bids. A business day is defined as a normal working day of DOTD.

Should a bidder protest or appeal any matter regarding the bidding or award of a contract in accordance with Subsection 102.13 of the 2006 Standard Specifications (Subsection 102.13 of the 2000 Louisiana Standard Specifications) after the scheduled time of bid opening, the Compliance Programs Section will

immediately suspend the ten day requirement for submission of the CS-6AAA and Attachments until further notice and will notify all parties involved of the suspension. Once the protest has been resolved the Compliance Programs Section will notify the low bidder and issue a date for submission of the CS-6AAA and Attachments.

All attachments to Form CS-6AAA shall include:

- a. The names of DBE subcontractors that will actually participate in meeting the contract goal; and
- b. A complete description of the work to be performed by the DBE including the specific items or portions of items of work, quantities, and unit price(s) of each item; and
- c. The total dollar value of each item that can be credited toward the contract goal; and
- d. Any assistance to be provided to the DBE; and
- e. The signature of each DBE and the contractor attesting that negotiations are in progress and that it is the intention of the parties to enter into a subcontract within 60 calendar days from the time the contract is finalized between the contractor and DOTD.

It shall be the bidder's responsibility to ascertain the certification status of designated DBEs. An extension of time for submittal of Form CS-6AAA and attachments will not be granted beyond the stated time. Questionable technical points will be cleared with the DOTD Compliance Programs Office within the time period allowed. If the documentation required is not provided in the time and manner specified, DOTD will take the actions specified in Heading (6) below.

(4) If the apparent low bidder is not able to meet the DBE goal, the DBE firms that can meet a portion of the goal shall be listed on the form CS-6AAA. Form CS-6AAA and attachments shall be completed and submitted in accordance with Heading (3) above 10 business days after opening of bids. Form CS-6AAA shall indicate the DBE participation which has been secured along with documentation of good faith efforts. The apparent low bidder shall document and submit justification stating why the goal could not be met and demonstrate the good faith efforts as shown in Section J.

The DOTD's evaluation of good faith efforts in the pre-award stage will only focus on efforts made prior to submittal of the bid. For consideration, good faith efforts shall include the requirements listed in these provisions as well as other data the contractor feels is relevant.

- (5) Form CS-6AAA and attachments, and documentation of good faith efforts, when appropriate, will be evaluated by DOTD in the selection of the lowest responsible bidder. The information provided shall be accurate and complete. The apparent low bidder's proposed attainment of the DBE goal and/or demonstration of good faith efforts will be considered in the award of the contract.
- (6) An apparent low bidder's failure, neglect, or refusal to submit Form CS-6AAA and attachments committing to meet or exceed the DBE goal and/or documentation of good faith efforts, shall constitute just cause for forfeiture of the proposal guarantee and the DOTD rejecting the bid, pursuing award to the next lowest bidder, or re-advertising the project. The original apparent low bidder will not be allowed to bid on the project should readvertisement occur.

The apparent low bidder shall forfeit the proposal guarantee unless the bidder can show that the reason for not meeting the requirements given in these DBE Provisions was beyond the bidder's control. The DOTD Chief Engineer will review the bidder's reasons for not meeting these DBE Provisions and will decide if the reasons are sufficient to allow return of the proposal guarantee.

(7) The bidder has the right to appeal the DOTD's findings and rulings to the DOTD Chief Engineer. The bidder may present information to clarify the previously submitted documentation. The decision rendered by the DOTD Chief Engineer will be administratively final. There shall be no appeal to the US DOT. If

the DOTD Chief Engineer does not rule in favor of the original apparent low bidder, the new apparent low bidder shall submit, in detail, its subsequent proposed DBE participation within 14 calendar days after notification.

(8) Agreements between the bidder and the DBE, whereby the DBE agrees not to provide subcontracting quotations to other bidders, are prohibited.

H. POST AWARD COMPLIANCE

- (1) If the contract is awarded on less than full DBE goal participation, such award will not relieve the contractor of the responsibility to continue exerting good faith efforts. The contractor shall submit documentation of good faith efforts with requests to sublet prior to approval of subcontracting work being performed on the project.
- (2) The contractor shall establish a program which will effectively promote increased participation by DBE in the performance of contracts and subcontracts. The contractor shall also designate and make known to the DOTD a liaison officer who will be responsible for the administration of the contractor's DBE program.
- (3) The contractor shall enter into subcontracts or written agreements with the DBE identified on Form CS-6AAA and attachments for the kind and amount of work specified. The subcontracting requirements of the contract will apply. The contractor shall submit copies of subcontracts or agreements with DBE to DOTD upon request.
- (4) The contractor shall keep each DBE informed of the construction progress schedule and allow each DBE adequate time to schedule work, stockpile materials, and otherwise prepare for the subcontract work.
- (5) At any point during the project when it appears that the scheduled amount of DBE participation may not be achieved, the contractor shall provide evidence demonstrating how the goal will be met.
- (6) If the contractor is unable to demonstrate to the DOTD's satisfaction that it failed to achieve the scheduled DBE participation due to reasons other than quantitative underruns or elimination of items contracted to DBE and that good faith efforts have been used to obtain the scheduled contract participation, the DOTD may withhold an amount equal to the difference between the DBE goal and the actual DBE participation achieved as damages.
- (7) When the DOTD has reason to believe the contractor, subcontractor, or DBE may not be operating in compliance with the terms of these DBE provisions, to include, but not be limited to the encouragement of fronting, brokering, or not providing a commercially useful function, the DOTD will conduct an investigation of such activities with the cooperation of the parties involved. If the DOTD finds that any person or entity is not in compliance, the DOTD will notify such person or entity in writing as to the specific instances or matters found to be in noncompliance.

At the option of the DOTD, the person or entity may be allowed a specified time to correct the deficiencies noted and to achieve compliance. In the event that the person or entity cannot achieve compliance, or fails or refuses to do so, the DOTD reserves the right to initiate administrative action against the contractor which may include but not be limited to terminating the contract; withholding a percentage of the contractor's next partial payment equal to the shortfall amount until corrective action is taken; or other action the DOTD deems appropriate. The contractor has the right to appeal the DOTD's finding and rulings to the DOTD Chief Engineer.

The contractor may present additional information to clarify that previously submitted. Any new information not included in the original submittal will not be used in the final determination. The decision rendered by the DOTD Chief Engineer will be administratively final.

(8) To ensure that the obligations under subcontracts awarded to subcontractors are met, the DOTD will review the contractor's efforts to promptly pay subcontractors for work performed in accordance with the

executed subcontracts. The contractor shall promptly pay subcontractors and suppliers, including DBE, their respective subcontract amount within 14 calendar days after the contractor receives payment from DOTD for the items satisfactorily performed by the subcontractors in accordance with Louisiana Revised Statute 9:2784. The contractor shall provide the DBE with a full accounting to include quantities paid and deductions made from the DBE's partial payment at the time the check is delivered. Retainage may be held by the contractor pending completion of a subcontractor's work in accordance with the terms of the subcontract, but must be released by the contractor within 30 calendar days after satisfactory completion of the subcontractor's work and payment for the completed work by the DOTD. Acceptance of the subcontracted work by the Project Engineer shall constitute satisfactory completion of subcontracted work. Delay or postponement of payment to the subcontractor may be imposed by the contractor when there is evidence that the subcontractor has failed to pay its labor force and suppliers for materials received and used on the project. Delay or postponement of payment must have written approval by the Project Engineer. Failure to promptly pay subcontractors or to release subcontractors' retainage shall constitute a breach of contract and after notification by the DOTD may result in (1) a deduction from the contract funds due or to become due the contractor, (2) disqualification of a contractor as non-responsive, or (3) any other such remedy under the contract as DOTD deems appropriate. All subcontracting agreements made by the contractor shall include the current payment to subcontractors provisions as incorporate in the contract. All disputes between contractors and subcontractors relating to payment of completed work or retainage shall be referred to the DBE Oversight Committee. Members of the DBE Oversight Committee are: the Chief, Construction Division; the DOTD Compliance Programs Director; and a FHWA Division Representative.

- (9) The contractor shall meet the requirements of Subsection 108.01 Subletting of Contract, and shall submit DOTD Forms OMF-1A, Request to Sublet and OMF-2A, Subcontractor's EEO Certification. These forms shall be approved by DOTD before any subcontract work is performed.
- (10) DOTD reserves the right to withhold any partial payment from the contractor when it is determined that a DBE is not performing a commercially useful function or that achievement of the goal is in jeopardy. Payment may be withheld in the amount of the DBE goal that is in jeopardy until either the contractor submits to DOTD a revised plan for achieving the contract goal and the plan is approved, or the DBE goal amount in question has been met.
- (11) The DOTD will monitor the contractor's DBE involvement during the contract, the level of effort by the contractor in meeting or exceeding the goal requirements in the contract, the contractor's attempts to do so, and the efforts in soliciting such involvement. If, at the completion of the project, the contractor has failed to meet the DBE goal and has not demonstrated good faith efforts or obtained a waiver or reduction of the goal, DOTD will withhold an amount equal to the difference between the DBE goal and the actual DBE participation achieved as damages.

I. SUBSTITUTIONS OF DBE FIRMS AFTER AWARD

- (1) The contractor shall conform to the scheduled amount of DBE participation.
- (2) Contract items designated to be performed by the DBE on Form CS-6AAA and attachments shall be performed by the designated DBE or DOTD approved substitute. Substitutions of named DBE shall be approved in writing by the DOTD Compliance Programs Section. Substituted DBE shall not commence work until the contractor is able to demonstrate that the listed DBE is unable to perform because of default, overextension on other jobs, or other acceptable justification. It is not intended that a contractor's ability to negotiate a more advantageous contract with another subcontractor be considered a valid basis for change. Substitution of DBE will be allowed only when the DBE is unable to perform due to default, overextension on other jobs, or other similar justification. Evidence of good faith efforts exerted by the contractor shall be submitted to DOTD for approval. Pay items of work eliminated from the project will not diminish the contractor's DBE participation.
- (3) Under no circumstances will a contractor perform work originally designated to be performed by a DBE without prior written approval from the DOTD Compliance Programs Section.

(4) When a listed DBE is unwilling or unable to perform the items of work specified in the Form CS-6AAA and attachments, the contractor shall immediately notify the DOTD Compliance Programs Section.

When a contractor's request to be relieved of the obligation to use the named DBE results in a DBE Goal shortfall, the contractor shall immediately take steps to obtain another certified DBE to perform an equal amount of allowable credit work or make documented good faith efforts to do so. The new DBE's name and designated work shall be submitted to the DOTD for approval using Form OMF-1A, Request to Sublet, prior to proceeding with the work.

If the contractor is unable to replace a defaulting DBE with another DBE for the applicable item, a good faith effort shall be made to subcontract other items to DBE for the purpose of meeting the goal. The DOTD Compliance Programs Section will determine if the contractor made an acceptable good faith effort in awarding work to DBE firms. Any disputes concerning good faith efforts will be referred to the DBE Oversight Committee. The DOTD Compliance Programs Section may allow a waiver or adjustment of the goal as may be appropriate, depending on individual project circumstances.

- J. GOOD FAITH EFFORTS: Good faith efforts are required by the contractor when the DBE goals established for a contract are not met, or at anytime during the contract when achievement of the DBE goal is in jeopardy. It is the contractor's responsibility to provide sufficient evidence for DOTD to ascertain the efforts made. The contractor shall demonstrate good faith efforts to maximize participation by DBE prior to award and during the life of the contract. Good faith efforts include personal contacts, follow-ups and earnest negotiations with DBE. DOTD will consider, at a minimum, the following efforts as relevant, although this listing is not exclusive or exhaustive and other factors and types of efforts may be relevant:
 - (1) Efforts made to select portions of the work to be performed by DBE in order to increase the likelihood of achieving the stated goal. It is the contractor's responsibility to make a sufficient portion of the work available to subcontractors and suppliers and to select those portions of work or materials consistent with the availability of DBE subcontractors and suppliers to assure meeting the goal for DBE participation. Selection of portions of work are required to at least equal the DBE goal in the contract.
 - (2) Written notification at least 14 calendar days prior to bid opening which solicits a reasonable number of DBE interested in participation in the contract as a subcontractor, regular dealer, manufacturer, or consultant for specific items of work. The contractor shall provide notice to a reasonable number of DBE that their interest in the contract is being solicited, with sufficient time to allow the DBE to participate effectively. The contractor shall seek DBE in the same geographic area from which it generally seeks subcontractors for a given project. If the contractor cannot meet the goal using DBE from the normal area, the contractor shall expand its search to a wider geographic area.
 - (3) Demonstrated efforts made to negotiate in good faith with interested DBE for specific items of work include:
 - a. The names, addresses and telephone numbers of DBE contacted. The dates of initial contact and whether initial solicitations of interest were followed-up personally, by mail, or by phone to determine the DBE interest.
 - b. A description of the information provided to DBE regarding the nature of the work, the plans and specifications and estimated quantities for portions of the work to be performed.
 - c. A statement of why additional agreements with DBE were not reached.
 - d. Documentation of each DBE contacted but rejected and the reasons for rejection. All bids and quotations received from DBE subcontractors whether verbal or written, and the contractor's efforts to negotiate a reasonable price shall be submitted. Rejecting a DBE's bid because it was not the lowest quotation received will not be satisfactory reason without an acceptable explanation

- of how it was determined to be unreasonable. A statement that the DBE's quotation was more than the contractor's bid price for an item or items will not be acceptable.
- e. Copies of all bids and quotations received from DBE subcontractors and an explanation of why they were not used.
- f. Scheduling meetings to discuss proposed work or to walk the job-site with DBE.
- g. Informing DBE of any pre-bid conferences scheduled by the DOTD.
- h. Assisting DBE in obtaining bonding, insurance, or lines of credit required by the contractor.
- i. Evidence of DBE contacted but rejected as unqualified, accompanied by reason for rejection based on a thorough investigation of the DBEs capabilities.
- j. Any additional information not included above which would aid the DOTD in evaluation of the contractor's good faith efforts.
- (4) The following are examples of actions that <u>will not</u> be accepted as justification by the contractor for failure to meet DBE contract goals:
 - a. Failure to contract with a DBE solely because the DBE was unable to provide performance and/or payment bonds.
 - b. Rejection of a DBE bid or quotation based on price alone.
 - c. Failure to contract with a DBE because the DBE will not agree to perform items of work at the unit price bid.
 - d. Failure to contract with a DBE because the contractor normally would perform all or most of the work in the contract.
 - e. Rejection of a DBE as unqualified without sound reasons based on a thorough investigation of their capabilities.
 - f. Failure to make more than mail solicitations.
- K. RECORD KEEPING REQUIREMENTS: The contractor shall keep such records as are necessary for the DOTD to determine compliance with the DBE contract obligations. These records shall include the names of subcontractors, including DBE; copies of subcontracts; the type of work being performed; documentation such as canceled checks and paid invoices verifying payment for work, services, and procurement; and documentation of correspondence, verbal contacts, telephone calls, and other efforts to obtain services of DBE. When requested, the contractor shall submit all subcontracts and other financial transactions executed with DBE in such form, manner and content as prescribed by DOTD. The DOTD reserves the right to investigate, monitor and/or review actions, statements, and documents submitted by any contractor, subcontractor, or DBE.
- L. REPORTING REQUIREMENTS: The contractor shall submit monthly reports on DBE involvement. At the conclusion of each estimate period the contractor shall submit the Form CP-1A, CONTRACTORS MONTHLY DBE PARTICIPATION, to the project engineer to verify actual payments to DBE for the previous month's reporting period. These reports will be required until all DBE subcontracting activity is complete or the DBE Goal has been achieved. Reports are required regardless of whether or not DBE activity has occurred in the monthly reporting period.

Upon completion of all DBE participation, the contractor shall submit the Form CP-2A, DBE FINAL REPORT, to the DOTD Compliance Programs Section with a copy to the project engineer detailing all DBE subcontract payments. When the actual amount paid to DBE is less than the award amount, a complete explanation of the

02/07 FHWA Goal Project 49 CFR Part 26

difference is required. If the DBE goal is not met, documentation supporting good faith efforts shall be submitted. Failure to submit the required reports will result in the withholding of partial payments to the contractor until the reports are submitted. All payments due subcontractors which affect DBE goal attainment, including retainage, shall be paid by the contractor before the DOTD releases the payment/performance/retainage bond.

The DOTD reserves the right to conduct an audit of DBE participation prior to processing the final estimate and at any time during the work.

M. APPLICABILITY OF PROVISIONS TO DBE BIDDERS: These provisions are applicable to all bidders including DBE bidders. The DBE bidder is required to perform at least 50 percent of the work of the contract with its own work force in accordance with the terms of the contract, normal industry practices, and the DOTD DBE Program. If the DBE bidder sublets any portion of the contract, the DBE bidder shall comply with provisions regarding contractor and subcontractor relationships. A DBE prime contractor may count only the contract amount toward DBE participation for work that he/she actually performs and any amounts awarded to other certified DBE subcontractors that perform a commercially useful function.

FORM CS-6AAA BIDDERS ASSURANCE OF DBE PARTICIPATION

S.P.#	Contract Amount: \$	
F.A.P.#	DBE Goal Percentage	
Letting Date:	DBE Goal Dollar Value: \$	
By its signature affixed hereto, the contractor assures only one box):	the DOTD that one of the	following situations exists (check
☐ The project goal will be met or exceeded.☐ A portion of the project goal can be meattached. DBE Goal Participation Amount	et, as indicated below. Go	ood faith effort documentation is
The contractor certifies that each firm listed is currently items of work shown on the attachment(s). The contractor in the special provisions will be met or exceeded, or that negotiations are in progress or complete and that a subcocalendar days after award of contract.	or having assured that the go the portion of the DBE goal	al for DBE participation prescribed will be met or exceeded, attests the
NAME OF DBE FIRM(S))	INTENDED SUBCONTRACT PRICE ¹
¹ For supplier list only the value of the subcontract that can the amount shown for the supplier on the Attachment to I CS-6AAA.	n be credited toward the DBE goal. Form CS-6AAA. Details are listed	This amount shall be equal to d on the attachment(s) to Form
The contractor assessed the capability and availability of subcontract(s) as described on the attachments.	of named firm(s) and sees no im	pediment to prevent award of
The contractor shall evaluate the subcontract work or service useful function is being served in accordance with the Reconstruction Contracts. The contractor understands that no perform a commercially useful function. The contractor has which details the methods of operation that are acceptable obtained by calling the DOTD Compliance Programs Section	quired Contract Provisions for credit toward the DBE goal of a current copy of the DOTD on projects containing DBE	r DBE Participation in Federal Aid will be allowed for DBE that do not DBE Program Implementation Guide
NAME OF CONTRACTOR		
AUTHORIZED SIGNATURE		
TYPED OR PRINTED NAME		
TITLE		
CONTRACTOR'S DBE LIAISON OFFICER (typed or printed name)		
PHONE NUMBER		
DATE	ΓAX ID#	

02/07

ATTACHMENT TO FORM CS-6AAA

Contractor shall submit a separate attachment for each DBE listed on Form CS-6AAA.

S.P.#	F.A.P.#	
NAME OF DBE		
PHONE #	CONTACT PERSON:	

Fully describe the work to be performed (furnish materials and install, labor only, supply only, manufacture, hauling, etc.), quantity, unit price, and dollar value for each item to be subcontracted to the DBE listed below.

ITEM NO.	QUANTITY/UNIT PRICE/DESCRIPTION OF WORK TO BE PERFORMED	\$ VALUE

Describe the types of assistance, if any, the contractor will provide to any DBE on this project.

The contractor and DBE subcontractor attest that a subcontract will be executed for the items of work listed above. The contractor acknowledges that it will only receive credit toward the DB goal if the subcontractor performs a commercially useful function. The DBE understands that it is responsible for performing a commercially useful function.

DBE CONTRACTOR'S SIGNATURE		
TYPED OR PRINTED NAME		
TITLE		
DATE	TAX ID#	
PRIME CONTRACTOR'S SIGNATURE		
TYPED OR PRINTED NAME		
TITLE		
DATE		

02/07

FORM CP-1A LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT CONTRACTOR'S MONTHLY DBE PARTICIPATION

STATE PROJECT NO.		CONTRACTOR		
		CONTRACTOR:		
FEDERAL AID PROJECT NO.				
ESTIMATE NO.		REPORT PERIOD:	TO	
DOTD CERTIFIED DBE SUBCONTRACTOR OR SUPPLIER	ITEMS	ITEMS PERFORMED AND PAID THIS ESTIMATE PERIOD	AMOUNT PAID THIS MONTH ¹	TOTAL PAID TO DATE ¹
RON				
IIC -				
NC				
) TO				
VAI				
LID				
FO				
¹ For suppliers, list total amount paid and the 60 percent value counted toward the goal.	value counted toward the	e goal.		
This report covers the previous estimate period and until required form is submitted. Questions should	all be submitted to the Prolirected to the DOTD Con	I shall be submitted to the Project Engineer with the current month's pay es be directed to the DOTD Compliance Programs Section at (225) 379-1382.	h's pay estimate. Estin 379-1382.	nates will be withheld
The Contractor certifies that the above amounts	<u>re paid</u> to the listed DBE	<u>were paid</u> to the listed DBEs and that documentation of these payments is available for inspection.	payments is available	for inspection.
Project Engineer has reviewed this form.			(Signature of Project Engineer).	ngineer).
MIT				•
TA	Authorized Signature			
	Typed or Printed Name			
	Title			
	Phone No.			
	Date			

02/07

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT DBE FINAL REPORT FORM CP-2A

STATE PROJECT NO.	DBE GOAL AMOUNT: S		CONTRACTOR	
			CONTRACTOR:	
FEDERAL PROJECT NO.	CONTRACT AMOUNT:	S		
PARISH(ES)	LETTING DATE:			
DOTD CERTIFIED DBE SUBCONTRACTOR OR SUPPLIER		ITEMS PERFORMED AND PAID	AND PAID	TOTAL DOLLAR AMOUNT PAID TO SUB OR SUPPLIER (60%)
NC				
This is to certify that \$	d to Disadvantaged Busines	has been paid to Disadvantaged Business Enterprise Subcontractors/Suppliers listed above.	sted above.	
	Authorized Signature			
ın s	Typed or Printed Name			
NI ID	Title			
A AIT.	Date			
ransn or County	State of			
Subscribed and sworn to, before me, this	day of	, A.D. 20	0	
Notary Public_	Public			
My commission expires:				

02/07

G-13

General Decision Number: LA080012 02/08/2008 LA12

Superseded General Decision Number: LA20070033

State: Louisiana

Construction Types: Highway

Counties: Allen, Assumption, Avoyelles, Beauregard, Bienville, Caldwell, Cameron, Catahoula, Claiborne, Concordia, De Soto, East Carroll, East Feliciana, Evangeline, Franklin, Grant, Iberia, Iberville, Jackson, Jefferson Davis, La Salle, Lincoln, Madison, Morehouse, Natchitoches, Pointe Coupee, Red River, Richland, Sabine, St Helena, St Mary, Tangipahoa, Tensas, Union, Vermilion, Vernon, Washington, West Carroll, West Feliciana and Winn Counties in Louisiana.

HIGHWAY CONSTRUCTION PROJECTS (does not include building structures in rest area projects)

Modification Number 0

Publication Date 02/08/2008

SULA2004-016 08/03/2004

I	Rates	Fringes
Carpenter (including		
formbuilding/formsetting)\$		1.85
Cement Mason/Concrete Finisher.\$	11.26	
Electrician (including		
traffic signal wiring and		
installation)\$		
Ironworker, Reinforcing\$ Laborers	10.69	
	0 10	
Asphalt Raker\$ General including	8.12	
landscape/erosion\$	8 07	
Guardrail\$		
Mason Tender\$	8.46	
Pipelayer\$	8.19	
Striping/Pavement Marker		
including paint striping		
and attachment of		
reflector buttons\$	7.91	
Traffic Control including		
flagger, sign placement,	7 05	
barricades, and cones\$ Piledriverman\$		
Power Equipment Operators	11.07	
Asphalt Distributor\$	8.84	
Asphalt Paving Machine\$		
Asphalt Screed\$	10.50	
Asphalt/Aggregate Spreader\$		
Backhoe/Excavator\$	10.96	

ELECTRONIC - NOT VALID FOR PAPER BID SUBMITTAL

Bobcat/Skid Loader \$ 1 Broom Sweeper \$ Bulldozer \$ 1 Concrete Saw \$ 1 Crane \$ 1 Front End Loader \$ Grade Checker \$ Mechanic \$ 1	8.70 1.87 2.46 3.63 9.62 9.00
Milling/Cold Planing	,
Machine including rotomill	
and CMI cutter\$1 Motor Grader/Blade\$1 MTV/Shuttlebuggy\$1 Oiler\$1 Post Driver including guardrails\$1 Roller\$ Scraper\$1 Stabilizer\$1	1.23 0.14 0.20 2.21 9.68 0.93 9.85 1.92
Tractor\$ Truck drivers	9.49
Dump (all types)\$Flatbed\$Lowboy\$Pickup including paint truck\$Tack\$Trailer\$	8.56 9.86 1.02 9.30 9.61 0.00 9.27

WELDERS - Receive rate prescribed for craft performing

operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination

- a Wage and Hour Division letter setting forth a position on a wage determination matter
- a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

> Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

> Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

> Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

General Decision Number: LA080007 02/08/2008 LA7

Superseded General Decision Number: LA20070011

State: Louisiana

Construction Types: Highway

Counties: Acadia, Ascension, Calcasieu, East Baton Rouge, Lafayette, Lafourche, Livingston, St Landry, St Martin, Terrebonne and West Baton Rouge Counties in Louisiana.

HIGHWAY CONSTRUCTION PROJECTS (does not include building structures in rest area projects)

Modification Number Publication Date 0 02/08/2008

* SULA2004-015 08/03/2004

	Rates	Fringes
Carpenter (including		
formbuilding/formsetting)\$	11.88	
Cement Mason/Concrete Finisher.\$	12.58	
Electrician (including		
traffic signal wiring and		
installation)\$	12.36	
Ironworker, Reinforcing\$		
Laborers		
Asphalt Raker\$	8.95	
General including		
landscape/erosion\$	8.48	
Guardrail\$		1.80
Jack Hammer/Vibrator\$		1.00
Mason Tender\$		
Pipelayer\$		1.12
Striping/Pavement Marker		
including paint striping		
and attachment of		
reflector buttons\$	7.93	
Traffic Control including		
flagger, sign placement,		
barricades, and cones\$	8.15	
Painter, Brush, Spray and		
Roller\$	13.40	2.55
Piledriverman\$	13.97	
Power Equipment Operators		
Air Compressor\$		
Asphalt Distributor\$	9.11	
Asphalt Paving Machine\$		0.18
Asphalt Screed\$		
Asphalt/Aggregate Spreader\$		
Backhoe/Excavator\$		
Bobcat/Skid Loader\$	10.00	

Broom/Sweeper\$	9.30
Bulldozer\$	12.00
Concrete Saw\$	9.00
Crane\$	14.82
Front End Loader\$	9.60
Mechanic\$	
Milling/Cold Planing Machine\$	13.08
Motor Grade/Blade\$	11.68
MTV/Shuttlebuggy\$	10.43
Post Drive including	
guardrails\$	10.96
Roller\$	9.97
Stabilizer\$	9.44
Trackhoe\$	12.59
Tractor\$	11.90
Trenching/Boring Machine\$	8.50
Truck drivers	
Dump (all types)\$	10.19
Flatbed\$	9.46
Lowboy\$	12.48
Pickup including paint truck\$	9.90
Tack\$	9.28
Water\$	10.60

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour

ELECTRONIC - NOT VALID FOR PAPER BID SUBMITTAL

Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

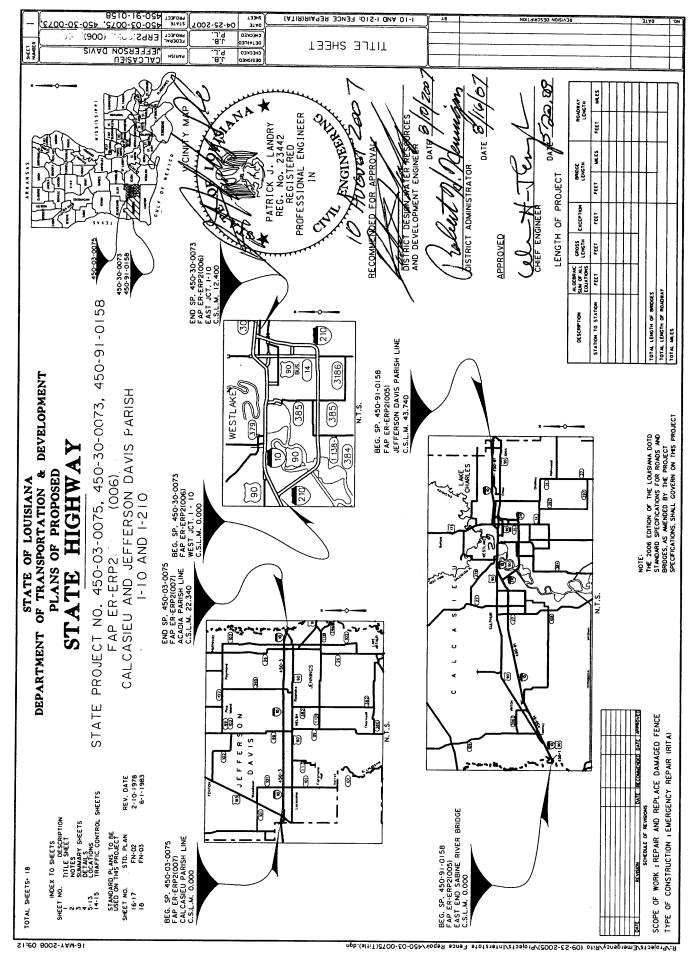
The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION



2	8510-05-034 ,8700-20-034 8310-16-034	31A12 103L089	T005-81-#0	31 AG T33H2	I-10 AND I-2104 FENCE REPAIR (RITA)	BA	WEATSION DESCRIPTION	3140	,0v
		7505RAL T03LOR4	.j.q .j.q	027A1LED	NOLES				
13 M	JEFFERSON DAVIS CALCASIEU	HSIBVE	.1.6 P.L.	CHECKED 05210/60	331011				Н

LOCATION DESCRIPTION

SABINE RIVER BRIDGE THENCE, PROCEEDING EASTERLY TO THE ACADIA PARISH LINE AND ALONG 1-210 BEGINNING AT THE WEST INTERCHANGE WITH 1-10 THENCE, PROCEEDING EASTERLY TO THE EAST INTERCHANGE WITH 1-10. THE PROJECTS ARE LOCATED ALONG 1-10 BEGINNING AT THE EAST END OF THE

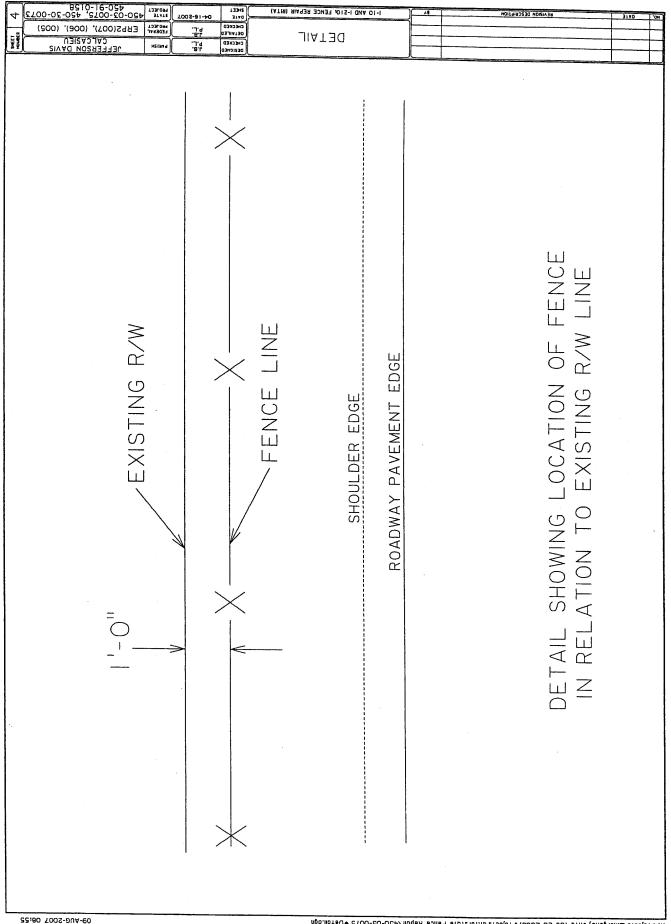
SCOPE OF WORK

THIS PROJECT IS TO REPAIR AND REPLACE FENCING DAMAGED OR DESTROYED BY HURRICANE RITA. THE SCOPE OF

GENERAL NOTES:

- FENCE AT COMPLETTION OF EACH WORKING DAY. GAPS SHALL BE SECURED TO THE SATIFACTION NO GAPS POSING A SAFETY CONCERN SHALL BE LEFT BETWEEN NEW FENCE AND EXISTING OF THE PROJECT ENGINEER.
 - ALL VEGETATION AND REMAINING FENCE MATERIALS SHALL BE REMOVED TO FACILITATE THE FENCE REPAIRS. REMOVAL AND DISPOSAL OF THESE MATERIALS IS INCLUDED IN ITEMS 705-02 AND 705-06-B. 5
 - THE CONTRACTOR IS RESPONSIBLE FOR REPAIRING AND RESTORATING ANY DAMAGES DONE TO THE ROADWAY, SHOULDERS, OR OTHER AREAS WITHIN THE EXISTING RIGHT OF WAY. 3)
- SROUND RODS SHALL BE PLACED ONLY ON NEW FENCE SEGMENTS 250' OR GREATER IN LENGTH. 4
 - ALL STRAIGHT LINE FENCE POST FOR ITEM 705-02 SHALL BE STEEL POSTS. 2)
- THE LOCATIONS AND QUANTITIES OF FENCE REPAIR ITEMS WERE PROVIDED BY DISTRICT 07 BRIDGE SECTION (9

		2.	F. A. P. NO.	STATE PROJECT	SCT PARISH	SHEET NO
		ER-E ER-E	ER-ERP2 (006) ER-ERP2 (006) ER-ERP2 (006)	450-03-0075 450-30-0073 450-91-0158	CALCASIEU	3
	SUMMARY OF ESTIMATED QUANTITIES	MATED	QUANTITIES			
				QUANTITY		
LIEM NO.	Mali —	TIND	S.P. NO.	S.P. NO.	S.P. NO.	TOTAI.
			450-03-0075	450-30-0073	450-91-0158	OUANTITY
05-02	COMBINATION MESH & BARBED WIRE FENCE	LNFT	887	6,150	56.186	63 223
05-06-B	CHAIN LINK FENCE (5-FOOT HEIGHT)	LNFT		2.570	1,420	2 900
						3,30
13-01	TEMPORARY SIGNS & BARRICADES	LUMP	1.20%	178	90 L 8	TTMAT
					\$08:40	THOME
127-01	MOBILIZATION	LUMP	1.20\$	17%	81.80%	T.TIMD
						1000
740-01	CONSTRUCTION LAYOUT	LUMP	1.20%	178	808 18	CIMIT



5	450-63-0075	31A12 133.0%	700S-17-20 S R0 1	37 AG 733HZ	(ATIRI RIASAN JEFFERSON DAVIS PARISH FENCE REPAIR IRITA)	A9	NO. DATE REVISION DESCRIPTION
- 5	(700)S9A3	JAR3031 T33LOR9	7.5.	CHECKED	LOCATIONS		
SPECT.	JEFFERSON DAVIS	HZIBA9	.B.t. .J.9	CHECKED 0E210HED	FENCE REPAIR		
1							

		_	Т .	1		,	,			_	,													
705-06-B	L.F.																							
705-02 COMBINATION	L.F.	30	09	14	80	38	14	28	28	14	14	20	56	14	40	20	40	40	10	28	14			602
SIDE CL		RT	ŔŢ	RT																				
LONGITUDE		W 092 58.761	W 092 58.483	W 092 50.336	W 092 50.301	W 092 50.282	W 092 50.279	W 092 50.065	W 092 49.996	W 092 49.923	W 092 49.845	W 092 49.140	W 092 49.120	W 092 49.101	W 092 49.031	W 092 48.958	W 092 48.890	W 092 39.084	W 092 39.071	W 092 39.008	W 092 38.347			
LATITUDE		N 30 14.848	N 30 14.789	N 30 14.779	N 30 14.777	N 30 14.780	N 30 14.780	N 30 14.782	N 30 14.782	N 30 14.784	N 30 14 782	N 30 14.793	N 30 14.792		N 30 14.801	N 30 14.799	N 30 14.796	N 30 14.731	N 30 14.780	N 30 14.781	N 30 14.681			SHEET TOTAL
ENDING	MARKER	44.836	45.231	53.533	53.585	53.597	53.783	53.815	53.875	53.943	54.013	54.614	54.641	54.653	54.728	54.794	54.868	54.918	64.862	64.925	65.583			SI
BEGINNING	MARKER	44.830	45.220	53.530	53.570	53.590	53.780	53.810	53.870	53.940	54.010	54.610	54.630	54.650	54.720	54.790	54.860	54.910	64.860	64.920	65.580			

	00-εc				3TAT2 D3LOMM	700S	-71- 2 0 5	3,	ے ر	ATIR) R						FFERS	3r :0)	1	A9	Ţ.	 	SCRIPTION	30 NOISI	^3u		3140
SIVAC	2007	71- 79	<u> </u>	7	1 3034 23cons		7.4	CKED		,	S	NOI	ΤĀ	0C	ī			F			 					
31/1/10	NUS	בנס	בבנ	' '	HSIBYA		1'd 1'B'r	03/10/1	30		ЯІА			1CE]					 					
	705-02 705-06-B	COMBINATION 5' CHAIN LINK	L.F.	285																				285	887	
		SIDE CL CC		L																						
		LONGITUDE		W 092 42.059																						
		LATITUDE		N 30 14.794																				SHEET TOTAL	PROJECT TOTAL	
	ENDING	MILE	MARKER	61.894																				S	PR	
	BEGINNING	MILE	MARKER	61.840																						

1-1	5700-05-02 p	31.412 133.0FF	700S-71-#0 S #0 I	T33H2	1-210 CALCASIEU PARISH FENCE REPAIR (RITA)	BA	REVISION DESCRIPTION	31 AG	'ON
-5	ERP2(006)	TOSCRAL TOSCORY	.T.9	CHECKED	LOCATIONS				+
	CALCASIEU	HSIBYA	.1.9 .1.9	CHECKED DESIGNED	FENCE REPAIR	ļ			F

	¥			80	202	3 5	2 2	2	120									
705-06-B	5' CHAIN LINK	L.F.																
705-02	COMBINATION	L.F.	6,050															
	SIDE CL	•	RT	RT	RT	RT	RT	RT	RT									
	LONGITUDE		N 30 13.565 W 093 18.149	W 093 15.731	N 30 11.770 W 093 15.560	W 093 15.480	N 30 11.786 W 093 15.359	N 30 11.788 W 093 15.317	N 30 11.786 W 093 13.986									
	LATITUDE		N 30 13.565	N 30 11.757	N 30 11.770	N 30 11.775	N 30 11.786	N 30 11.788	N 30 11.786									
ENDING	X E	MARKER	1.486	3.705	3.859	3.922	4.034	4.074	5.423									
BEGINNING	MILE	MARKER	0.340	3.690	3.850	3.920	4.030	4.070	5.400									

8	£700-02-03 p	31A12 T33L0A4	04-17-2007 S 70 !	31 AQ 133HZ	(ATIR) RIAGE REPORT (RITA)	PA	BEARRION DESCRIBLION	3140	.04
. 5	ERP2(006)	723ERAL 733LORR	.a.t.	OETAILED	LOCATIONS				\Box
S S S S S S S S S S S S S S S S S S S	CALCASIEU	HSINVA	.8.t .1.9	CHECKED DE310HED	FENCE REPAIR				

705-06-B	5' CHAIN LINK	L.F.	70	200	260	220	09	100	09	1,200	40	30	20	10						2,270	2,570
705-02	COMBINATION	L.F.												100						100	6,150
	SIDE CL		LT	LT	LI	5	L	5	בו	L.	ב	L	L	L							
	LONGITUDE		N 30 11.769 W 093 16.269	W 093 16.174	N 30 11.764 W 093 15.977	N 30 11.764 W 093 15.903	N 30 11.766 W 093 15.845	W 093 15.729	N 30 11.786 W 093 15.590	N 30 11.817 W 093 15.182	N 30 11.847 W 093 13.176	N 30 11.858 W 093 11.056	W 093 10.369	W 093 09.872							
	LATITUDE		N 30 11.769	N 30 11.767	N 30 11.764	N 30 11.764	N 30 11.766	N 30 11.774	N 30 11.786	N 30 11.817	N 30 11.847	N 30 11.858	N 30 11.928	N 30 14.383						SHEET TOTAL	PROJECT TOTAL
	MILE	MARKER	3.143	3.268	3.479	3.552	3.581	3.709	3.831	4.417	6.208	8.386	9.104	11.989						S	PR
BEGINNING	MILE	MARKER	3.130	3.230	3.430	3.510	3.570	3.690	3.820	4.190	6.200	8.380	9.100	11.970							

6	4 20-11-0128	31 A T 2	7005-71-40 8 30 1	37A0 T33H2	1-10. CALCASIEU PARISH FENCE REPAIR (RITA)	AB	MOLLEHEDSSO NOISINGE	3140	,OM
. 5	EBP2(005)	FEDERAL PROJECT	3.6 .1.9	DETAILED CH€CKED	LOCATIONS				
SPEE.	CALCASIEU	HSIBTA	.a. .j.9	CHECKED DESIGNED	FENCE REPAIR				\vdash

705-06-B	5' CHAIN LINK																										
705-02	COMBINATION	L.F.	160	2,740	10	1,885	006	30	150	20	20	20	30	30	860	90	30	237	30	440	100	009	635	230	320	100	9,627
	SIDE CL		RT																								
	LONGITUDE		W 093 39.821	W 093 39.554	W 093 37.848	W 093 37.821	W 093 37.245	W 093 36.660	W 093 36.614	W 093 35.009	W 093 34.857	W 093 34.823	W 093 34.624	W 093 34.476	W 093 33.697	W 093 33.492	W 093 29.415	W 093 29.290	W 093 28.495	W 093 28.303	W 093 28.178	W 093 27.973	W 093 27.828	W 093 27.652	W 093 26.986	W 093 26.876	
	LATITUDE		N 30 08.565	N 30 08.699	N 30 09.452	N 30 09.465	N 30 06.713		N 30 09.977	_	N 30 10.739	N 30 10.754	N 30 10.839	N 30 10.902	N 30 11.172	N 30 11.215	N 30 11.995	N 30 12.019		N 30 12.208	N 30 12.233	N 30 12.272	N 30 12.299	N 30 12.333	N 30 12.461	N 30 12.481	SHEET TOTAL
ENDING	MILE	MARKER	2.220	2.920	4.522	4.907	5.380	5.886	5.958	7.754	7.944	7.954	8.176	8.336	9.323	9.379	13.626	13.795	14.756	14.853	14.919	15.234	15.390	15.494	16.211	16.279	S
BEGINNING	MILE	MARKER	2.190	2.401	4.520	4.550	5.210	5.880	5.930	7.750	7.940	7.950	8.170	8.330	9.160	9.370	13.620	13.750	14.750	14.770	14.900	15.120	15.270	15.450	16.150	16.260	

2	8910-16-094	37.472 TO3LOP4	700S-T1-+0 ≥ 30 S	31 AG 133H2	(ATIN) REPUE REPUE (RITE)	A.B	MOLLARDS 30 NOISIA 38	31.40	'ON
_ =	ERP2(005)	723C37 733L0#9		CHECKED CHECKED	LOCATIONS				+
2 2 2	CALCASIEU	HEIRAM	.a.c .j.9	CHECKED DESIGNED	FENCE REPAIR				F

705-06-B	5' CHAIN LINK	L.F.							for a second sec						110	-	40										150
705-02	COMBINATION	Ľ.	810	2,600	30	30	30	09	100	1,610	260	1,270	640	1,260		140		200	820	200	280	200	200	200	210	2,200	13,950
	SIDE CL		RT	RT	RT	RT	RT	RT	RT	RT	RT	R	RT														
	LONGITUDE		W 093 26.116	W 093 25.910	W 093 25.387	W 093 25.294	W 093 25.198	W 093 25.147	W 093 24.994	W 093 24.859	W 093 24.462	W 093 24.297	W 093 23.977	W 093 23.774	W 093 22.247	W 093 21.334	W 093 21.065	W 093 20.793	W 093 20.663	W 093 20.458	W 093 20.309	W 093 20.134	W 093 19.297	W 093 19.237	W 093 19.158	W 093 18.966	
	LATITUDE		N 30 12.626	N 30 12.665	N 30 12.776	N 30 12.792		N 30 12.819	N 30 12.848	ļ	N 30 12.910	N 30 12.912	N 30 12.915	_	N 30 12.937			N 30 12.948		N 30 12.949	_	N 30 12.952		N 30 13.053		N 30 13.291	SHEET TOTAL
ENDING	MILE	MARKER	17.203	17.762	17.816	17.916	18.016	18.071	18.239	18.665	18.876	19.181	19.381	19.649	20.681	21.557	21.798	22.088	22.335	22.418	22.583	22.795	23.638	23.738	23.890	24.627	S
BEGINNING	MILE	MARKER	17.050	17.270	17.810	17.910	18.010	18.060	18.220	18.360	18.770	18.940	19.260	19.410	20.660	21.530	21.790	22.050	22.180	22.380	22.530	22.700	23.600	23.700	23.850	24.210	

	8510-16-054	31472 133.089	2 0€ 2	37AG 733H2	1-10. CALCASIEU PARISH FENCE REPAIR (RITA)	AS	MOITHINGS 3G MOISIVS N	3110	, CIN
- 5	ERP2(005)	7505R AL TO3LORS	.j.q	DETAILED CHECKED	LOCATIONS				+
a 3	CALCASIEU	HEIBA		CHECKED DESIGNED	FENCE REPAIR				F

705-06-B	5' CHAIN LINK	<u>.</u>		20	30	180	09	100	260	260												910
705-02	COMBINATION	L.	40								940	1,000	850	110	40	265						3,245
	SIDE CL		RT	RT	RT	RT	RT	RT	RT	RT	RT	RT	RT	RT	RT	RT						
	LONGITUDE		W 093 18.468	W 093 10.544	N 30 14.778 W 093 10.288	N 30 14.776 W 093 10.255	W 093 10.180	W 093 10.155	W 093 10.058	W 093 09.959	W 093 09.219	W 093 07.296	W 093 07.039	W 093 06.359	W 093 05.514	W 093 04.825						
	LATITUDE		N 30 13.508	N 30 14.795	N 30 14.778	N 30 14.776	N 30 14.772	N 30 14.772	N 30 14.765	N 30 14.752	N 30 14.699	N 30 14.746	N 30 14.792	N 30 14.841	N 30 14.846	N 30 14.849						SHEET TOTAL
ENDING	MLE	MARKER	24.728	33.234	33.476	33.544	33.591	33.619	33.749	32.829	34.728	36.639	36.871	37.401	38.218	38.940						S
BEGINNING	MILE	MARKER	24.720	33.230	33.470	33.510	33.580	33.600	33.700	32.780	34.550	36.450	36.710	37.380	38.210	38.890						

2	8910-16-09 b	37A72 TD3L099	700S-71-#0 8 %0 #	31AQ 13348	I-10. CALCASIEU PARISH FENCE REPAIR (RITA)	84	MEVISION DESCRIPTION	31.40	NO.
	EBP2(005)	FEDERAL TOBLOSS	.J.4	CHECKED	LOCATIONS				
1 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N	CALCASIEU	HEISA		CHECKED DEZIGNED	FENCE REPAIR				H

705-06-B	CHAIN LINK	L.F.																						160			160
70	5.		1,864	2,045	1,100	1,300	09	400	09	120	366	470	100	2,134	1,800	3,140	1,050	1,430	450	50	1,205	1,090	640		260	3,000	24,434
705-02	COMBINATION	.F.		2										2		Ę,		1			+	+				3,	24,
	SIDE CL		ב	L	L	L L	L	<u>ا</u>	LT	5	ב	L	LT	LT	LT	LT	L	Ļ	L	LT	L	Ţ	ב	L	בו	LT	
	LONGITUDE		W 093 39.140	W 093 38.414	W 093 37.522	W 093 37.126	W 093 34.870	W 093 33.534	W 093 27.845	W 093 27.738	W 093 26.930	W 093 26.174	W 093 25.967	W 093 25.503	W 093 25.116	W 093 24.508	W 093 24.221	W 093 23.899	W 093 23.570	W 093 21.636	W 093 20.744	W 093 20.435	W 093 19.852	W 093 19.773	W 093 19.305	N 30 13.424 W 093 18.727	
	LATITUDE		N 30 08.929	N 30 09.238	N 30 09.618	N 30 09.788	N 30 10.757	N 30 11.249	N 30 12.335	N 30 12.356	N 30 12.507	N 30 12.657	N 30 12.695	N 30 12.784	N 30 12.849	N 30 12.949	N 30 12.957	N 30 12.957	N 30 12.960	N 30 12.962	N 30 12.973	N 30 12.981	N 30 12.983	N 30 12.981	N 30 13.050	N 30 13.424	SHEET TOTAL
	MLE	MARKER	3.343	4.267	5.108	5.606	7.921	9.416	15.271	15.393	16.279	17.089	17.239	18.104	18.441	19.315	19.209	19.611	19.765	21.239	22.328	22.606	23.091	23.600	23.726	25.148	S
BEGINNING	MLE	MARKER	2.990	3.880	4.900	5.360	7.910	9.340	15.260	15.370	16.210	17.000	17.220	17.700	18.100	18.720	19.010	19.340	19.680	21.230	22.100	22.400	22.970	23.570	23.620	24.580	

5	8910-16-094	103.089 103.089		3140 T33H2	I-IO CALCASIEU PARISH FENCE REPAIR (RITA)	 REVISION DESCRIPTION	3TAG	NO.
	EBP2(005)	JA 93037 TD3LORR	.J.9	OETAILED CHECKED	LOCATIONS			
SACE T	CALCASIEU	HSIBVA	.8. 7.9	03X33H3 03K9153G	FENCE REPAIR			\vdash

705-06-B	5' CHAIN LINK	LF	09	09	09	20												200	1,420
705-02	COMBINATION	F.					4,250	009	80									4,930	56,186
	SIDE CL		L	LT		5	5	5	LI										1
	LONGITUDE		N 30 13.430 W 093 18.715	W 093 18.358	N 30 14.286 W 093 10.579	N 30 14.814 W 093 10.411	W 093 07.783	W 093 07.202	N 30 14.788 W 093 07.174										
	LATITUDE		N 30 13.430	N 30 13.596	N 30 14.286	N 30 14.814		N 30 14.787	N 30 14.788							-		SHEET TOTAL	PROJECT TOTAL
ENDING	MILE	MARKER	24.601	24.791	33.211	33.364	36.775	36.664	36.595									S	PR
BEGINNING	MILE	MARKER	24.590	24.780	33.200	33.360	35.970	36.550	36.580										

HORED C. ADAMS
FORED D. SOWARDS
FORED J. COLVIN TEMPORARY
TRAFFIC CONTROL
THEREL NOTES SHEET TRAFFIC CVCCVZIEN When used for overright closures, lighting sholl supplement of betricates that are spocked in a closed some or that sating occas a higher. Two Type B. High intensity sights attend occass a highery. Two Type B. High intensity sights and be used on those closed in such occass. In whom overs two Type P. Low intensity Lights may be used where obseques ombient spirits in evolution.

Type I. Low intensity Lights may be used where obseques on the Type B. High intensity light intent be used.

Type C. Standy burn faith intensity should prosent on the course described may be used to the country of the transity of the transity may be used to the transity of devices in the toper on wall on the first two devices in the • Flashing Arrow Pothels should be used for lone cleases on all feedlines with 2 or more lones in single direction and a speed limit greater than 35 mpt.

When used, licenship growe promise should be located on the shoulder set the beginning of the toper.

Where the shoulder width is lartied, the floshing arrow promet should be plocate within the cheek lines are close to the beginning of the toper.

At floshing forware press used on high speed rookways (45 mpt) and greater) had be 4 is 8 Type.

When floshing forware pensis used on high speed rookways (45 mpt) and greater) should be removed; if not removed, they should be settled be a shielded by quarted or horizer; or if the previous two options are not teached, here anough a definition of e U-Chommel posts may be spliced where long lengths are required. The upper section stad overlogs the lower section by all least 24 inches. The bottom edge of the tupper section of the splice should be a minimum of 24 inches choose the grown. The spliced sections should be secured with at least four Manch. ALLOWABLE LAP SPLICE FOR U-CHANNEL POST 000000 4 BOLTS demeter hex bolts spaced equally FLASHING ARROW PANELS YEN tangent, for night use. LIGHTING (see OPL) Z ¥ Z 24. Highways and an de ather readways (where space is analobeis with an ADT greater than 20,000 and should be delineated with restoreflective. ITC devices, CAS will be poid for by each.

When used in advance of a lare closure or a lare shift, the CAS should be spaced on the right hand side of the road a minimum distance of 2 miles in advance of the larger for interstates and to be determined by the Caphener on plant highway.

If whiches are querie beyond the 2 mile CAS, on additional CAS should be paced on the right hand aids of the road approximately in East in devonce of the larger for interstates.

© CAS messages shall be approved by the District Traffic Deventions. • At floogure must be qualited. The confractor shall be responsible for fracing or nazarizeth field floogure are qualited to perform floogung dulter. A certificate shelesing completion of alloger for tracks considered to the completion of a larger fraction for the control of When working within the traveled way, including shoulders and outillary lones, Changeable Message Signs (CMS) shall be used on all interstate When Portable Chemysoble Message signs are not being used, they should be removed; fin or removed, they should be shielded by guarded at barriers or if the previous two options are not feesble, they should be delineated with retrorefleative TIC devices. All barricades shall use Type 3 High Intensity Sheeting on both • All Type III Barricodes shall be a minimum of 8 feet in length and must meet NG-RRP 350 requirements.
• When signs and lights are to be mounted to a barricade, they must meet NG-RRP 350 requirements. PORTABLE CHANGEABLE MESSAGE SIGNS П MUTCD Website: http://mutcd.fhwa.dot.gov/ П TYPE III BARRICADES sides of the barricade. The following davices may be used
Tubuka Warkar, Varieted Pomis, Cornes, Orums, and Super Cornes.
Tubuka Warkar, Varieted Pomis, Cornes, Orums, and Super Cornes.
Tuburs (at standard spacer) and Super Cornes (a)/satadard
spacers) are the only davices allowed to be used in tipper areas
on the intersaction system active dought hours. Only draws can be not
used in topers darkin might operations.
The spacers of the standard operations.
The spacer of characterized davices in a toper should not second
attendant to the second to 1.0 Inters the posted appead finel in maph
(with a maximum of 50 feet).
The spacers of destrones in feet equal to 2.0 Inters the posted appead finel in ward
which is maximum of 100 feet) there should not a special day of the standard of distances in feet equal to 2.0 Inters the posted appead finel in ward
which is maximum of 100 feet) there are not operations; 21 Highways with
Self-racific cones are not observed on super-cones shall match
Self-racific cones are not observed on 1 Intersities; 21 Highways with
special greater than 40 mph. During right into operations; 1) 26° and 36°
cones are not observed, 21 drums are the only device allowed in the toper: 2 with sent appropriate signing for a specific condition may be required or usualitated with the approval of the Pricele Engineer and required or usualitated with the approval of the Pricele Engineer and reviewed by the District Traffic Operations Engineer.

When projects are separated by less than one mile, they shall be signed as one to specific the beat an approach.

At no thus shall spirst wormly aghest a particular operation be left in place come the operation has been completed or where the obstacle has been removed.

Signs over 10 set it shall be mounted on the opest and signs over 20 set it shall be mounted on the opest.

Signs while have a minimum of two both per post.

Signs while have a minimum of two both per post.

Wormly give the entry of the proper pipelobe, or is conflict, and be removed or covered with a strong, aghite-shall, opeque materiol.

Wormly give the entry of the minimum of the place is the operation of the OLL for sharple information, (IC) on minimum of a 2 b U-Chornit post more the opportments Simple Specification and the OLL for sharple information, (IC) on minimum of 2 by U-Chornit post more because if no opportment of the place is the operation of the OLL for sharple information, (IC) on minimum of 7 r. (IC) there display information or they be seen as shoulder a stall and 2 remainment of 6 from the aday of shoulder or sides with the or target them these the North Sall dryfine work provided that they mean all size, color, reforested them to be allowed on ony project.

Why Rot be signed or covered or covere does not be one, which has the color, reforested or cover seeling of province or shall be such an one of the size of the order or cover does not project.

Why Rot be signed or covered or covere does not not project.

Why Rot be signed or covered or covere does not not project.

Why Rot be signed or sidned or or side or does not not project.

Why Rot be signed or side or does or only project. VERTICAL PANEL At signs used for temporary troffic controls shall follow the apportment's Torfic Control (TC) details and the AMJTCD. Signs shown in the TC Bitatrialons are typical and may vary with soch specific condition. 9-0 Traffic Cone CHANNELIZING DEVICES shall be replaced. Mortivation used for Temporary Traffic Controls shall be in accordance with the LaDOTD Strandard Specifications for Roads and Editions and when applicable the LaDOTD Qualitied Products Let (1901).

No lamparory Infelic controls shall be acceled without the approved of the Project Expellent ond unit work is about to begin, unless they are covered.

No lone closures, how suffly, deviseding, of detours shall occur without the authoritotion of the Project Explass.

Responsibility is hereby placed upon the contractor for the mentaries of beginning the short beginning on all projects and focused or beginning or of the project unises otherwise notes. The sign shot be a mehrmum 36°X60° unies otherwise solici.

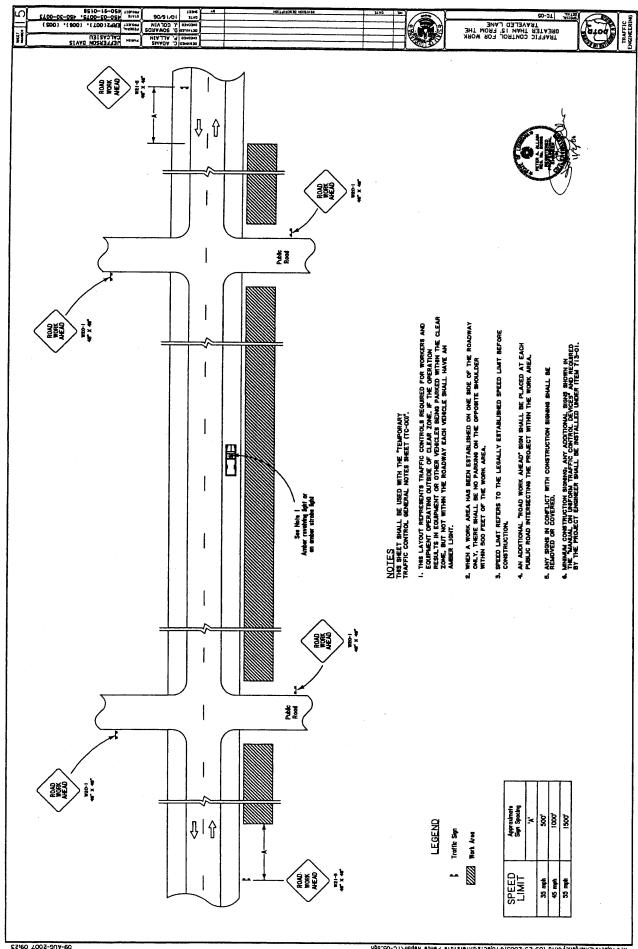
Werwing signs used for fore closures or loss shifts in which the roadway shad be returned to full public use willing the which the process and the process on INSPSSO approved portrobs sign frames. I if special pavement markings are needed, they shall be reflectorized, The District Traffic Operations Engineer (DTOE) shall serve as a technical advisor to the Project Engineer for all Traffic Control Moterials and placement of temporary povement markings shall conform to section 713 of the Standard Specifications. If no pay item saists, temporary markings will be considered incidental to • At povement markings within the limits of the project that are in conflict with the project signing or the required traffic movements and be removed from the povement by blost cleaning or grinding (Estisting strengt and not be publised over with black point or covered with tope). supplement temporary striping in areas of transition, in topers, in detours, and in other areas of need as directed by the Project • Speed fenits shall be lowered by 10 mph for any construction, maintenance, or utility operation.

(A) he condition of the original highly by its degraded due to militar any construction of the original highly by its degraded due to militar surfaces or unreas powerentist;

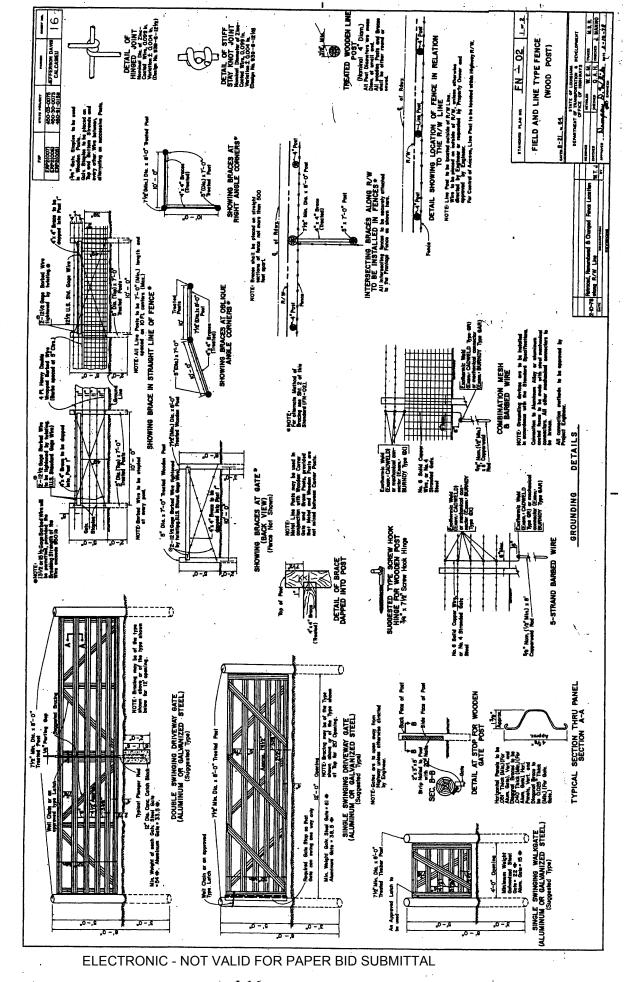
(B) work his in progress in the formediae vicinity of the treatment way represent your construction. The shall be supported to the state of the speed diversions; (C) workers present on the aborder within 2' of the edge of traveted way willhout barrier protection.

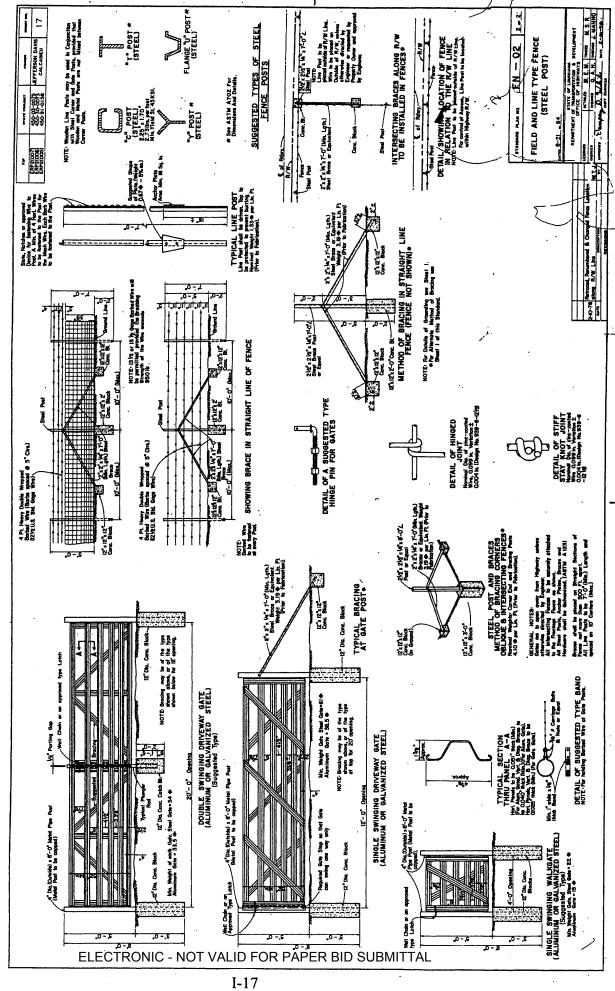
The reduced speed store shall only opply to those portions of the project limits affected. The Project Engineer may stow SPEED Limit WHEN FLASHANG signs to of all permonent signs and povement markings left in place as essential to the safe movement and guidance of traffic within emovable, and accompanied by the proper signage. Temporary Raised Pavement Markers (RPMs) may be added to instablation, maintenance, and operation of all these plans or required by the Project Engineer for the procession of the traveled by the Project Engineer for the procession of the traveling public as well as all Department and construction contractor shall also be responsible for the maintenance supplement reduced speed zones.

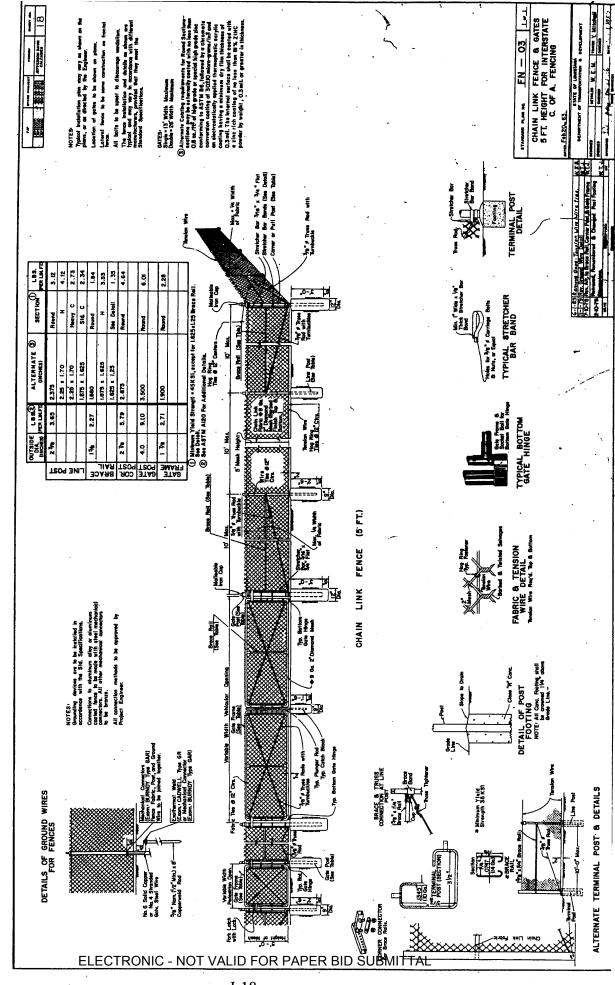
8 At the end of the reduced speed fone, a speed limit sign displaying the original speed limit before construction shall be If conditions worrant, the District Traffic Operations Enginess may outhorize the reduction of the speed finit by more than • Al Tamporary Traffic Control Daviess used shall be in accordance with the LOOTD Standard Specifications for Roads and Bridges, the Monual on Uniterm Traffic Control Daviess (MATCO), and shall mast its Notional Cooperative Highway Research Program (NCHRP) 350 for Tast Level 3 replacements. PAVEMENT MARKINGS (See OPL) traffic control. 10 mgh. SS:60 TOOS-DUA-60



ELECTRONIC - NOT VALID FOR PAPER BID SUBMITTAL







STATE OF LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT



CONSTRUCTION PROPOSAL RETURNABLES FOR

FEDERAL AID PROJECT

STATE PROJECT NO. 450-03-0075 I-10 FENCE REPAIR (RITA) JEFFERSON DAVIS PARISH ROUTE I-10

STATE PROJECT NO. 450-30-0073 I-210 FENCE REPAIR (RITA) CALCASIEU PARISH ROUTE I-210

STATE PROJECT NO. 450-91-0158 I-10 FENCE REPAIR (RITA) CALCASIEU PARISH ROUTE I-10

BID BOND

Specifications.)	when the bidder's totalion 103.01 is greater	al bid amount as calcur than \$50,000. (See S	lated by the Department in Section 102 of the Project
			, as Principal (Bidder)
and	-		as Surety
the Department) in the sum	of five percent (5%) f which the Principal	of the bidder's total bid and Surety bind them	evelopment, (hereinafter called d amount as calculated by the aselves, their heirs, executors,
Signed and sealed this	day of _		, 20
AND 450-91-0158, FEDEI REPAIR (RITA), located I-10 AND I-210, if the bid i	RAL AID PROJECT in JEFFERSON DATE is accepted and the Print th Surety acceptable to	T NO. ER-ERP2(006 AVIS AND CALCAS) neipal, within the specific the Department for pay	. 450-03-0075, 450-30-0073, (b), I-10 AND I-210 FENCE IEU PARISHES, ROUTES and time, enters into the contract rement and performance of said
Principal (Bidder or First Pa	rtner to Joint Venture)	TC T : . 77	
By		By	enture, Second Partner
	,	Ву	Officer-Owner-Partner
Ву	Owner-Partner	Authorized (
Authorized Officer-C	Owner-Partner ed Name	Authorized (Officer-Owner-Partner
Authorized Officer-C	Owner-Partner ed Name	Authorized (Officer-Owner-Partner
Authorized Officer-C	Owner-Partner ed Name St	Authorized of Typed	Officer-Owner-Partner
Authorized Officer-C	Owner-Partner Ed Name State By Agent or At	Authorized (Typed urety (Seal)	Officer-Owner-Partner
Authorized Officer-Co	Owner-Partner Ed Name Su By Agent or At Typed or F Gract and subsequent co	Authorized of Typed Typed Irrety (Seal) torney-in-Fact Printed Name Orrespondence / communications	Officer-Owner-Partner
Authorized Officer-C Typed or Printe To receive a copy of the cont	Owner-Partner Ed Name State By Agent or At Typed or Fact and subsequent collowing information materials.	Authorized of Typed Typed Irrety (Seal) torney-in-Fact Printed Name Orrespondence / communications	Officer-Owner-Partner or Printed Name

07/07 Form CS-2A

LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT SCHEDULE OF ITEMS

LEAD PROJECT: 450-03-0075 OTHER PROJECTS: 450-30-0073, 450-91-0158

DATE: 08/17/07 08:29 PAGE:

ITEM NUMBER	APPROXIMATE QUANTITY	UNIT OF MEASURE	PAY ITEM UNIT PRICE (IN WORDS, INK OR TYPED)
705-02	63,223	LINEAR FOOT	COMBINATION MESH & BARBED WIRE FENCE DOLLARS
			CENTS CHAIN LINK FENCE (5-FOOT HEIGHT)
705-06-B	3,990	LINEAR FOOT	DOLLARS
713-01	ТОМР	MUS GWULL	TEMPORARY SIGNS & BARRICADES DOLLARS
727-01	ГЛМР	LUMP SUM	MOBILITZATION DOLLARS CENTS
740-01	TUMP	LUMP SUM	CONSTRUCTION LAYOUT DOLLARS CENTS
_		_	

CONSTRUCTION PROPOSAL SIGNATURE AND EXECUTION FORM

THIS FORM, THE SCHEDULE OF ITEMS, AND THE PROPOSAL GUARANTY MUST BE COMPLETED AS INDICATED AND SUBMITTED TO THE LOUISIANA DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT (DOTD) TO CONSTITUTE A VALID BID

STATE PROJECT NOS.	450-03-0075, 450-30-0073, AND 450-91-0158
FEDERAL AID PROJECT NO.	ER-ERP2(006)
NAME OF PROJECT	I-10 AND I-210 FENCE REPAIR (RITA)

I (WE) HEREBY CERTIFY THAT I (WE) HAVE CAREFULLY EXAMINED THE PROPOSAL, PLANS AND SPECIFICATIONS, INCLUDING ANY AND ALL ADDENDA, AND THE SITE OF THE ABOVE PROJECT AND AM (ARE) FULLY COGNIZANT OF ALL PROPOSAL DOCUMENTS, THE MASTER COPY OF WHICH IS ON FILE AT DOTD HEADQUARTERS IN BATON ROUGE, LA., AND ALL WORK, MATERIALS AND LABOR REQUIRED THEREIN, AND AGREE TO PERFORM ALL WORK, AND SUPPLY ALL NECESSARY MATERIALS AND LABOR REQUIRED FOR SUCCESSFUL AND TIMELY COMPLETION OF THE ABOVE PROJECT AND TO ACCEPT THE SUMMATION OF THE PRODUCTS OF THE UNIT PRICES BID ON THE SCHEDULE OF ITEMS ATTACHED HERETO AND MADE A PART HEREOF MULTIPLIED BY THE ACTUAL QUANTITY OF UNIT OF MEASURE PERFORMED FOR EACH ITEM, AS AUDITED BY DOTD, AS FULL AND FINAL PAYMENT FOR ALL WORK, LABOR AND MATERIALS NECESSARY TO COMPLETE THE ABOVE PROJECT, SUBJECT TO INCREASE ONLY FOR PLAN CHANGES (CHANGE ORDERS) APPROVED BY THE DOTD CHIEF ENGINEER OR HIS DESIGNEE. THIS BID IS SUBMITTED IN ACCORDANCE WITH THE GENERAL BIDDING REQUIREMENTS IN THE CONSTRUCTION PROPOSAL AND ALL SPECIAL PROVISIONS, PLANS, SUPPLEMENTAL SPECIFICATIONS, AND THE LOUISIANA STANDARD SPECIFICATIONS FOR ROADS AND BRIDGES (2006 EDITION). I (WE) UNDERSTAND THAT THE SUMMATION OF THE PRODUCTS OF THE UNIT PRICES BID ON THE SCHEDULE OF ITEMS MULTIPLIED BY THE ESTIMATED QUANTITY OF UNIT OF MEASURE FOR EACH ITEM, ALONG WITH ANY OTHER FACTORS SPECIFIED TO BE APPLICABLE SUCH AS CONSTRUCTION TIME AND/OR LANE RENTAL, SHALL BE THE BASIS FOR THE COMPARISON OF BIDS. I (WE) UNDERSTAND THAT THE SCHEDULE OF ITEMS MUST CONTAIN UNIT PRICES WRITTEN OUT IN WORDS AND THAT THE SCHEDULE OF ITEMS SUBMITTED AS PART OF THIS BID IS ON THE FORM SUPPLIED BY DOTD IN THE BID PROPOSAL. MY (OUR) PROPOSAL GUARANTY IN THE AMOUNT SPECIFIED FOR THE PROJECT IS ATTACHED HERETO AS EVIDENCE OF MY (OUR) GOOD FAITH TO BE FORFEITED IF THIS BID IS ACCEPTED BY DOTD AND I (WE) FAIL TO COMPLY WITH ANY REQUIREMENT NECESSARY FOR AWARD AND EXECUTION OF THE CONTRACT, AS WELL AS, SIGN AND DELIVER THE CONTRACT AND PAYMENT/PERFORMANCE/RETAINAGE BOND AS REQUIRED IN THE SPECIFICATIONS.

NONCOLLUSION DECLARATION (APPLICABLE TO FEDERAL-AID PROJECTS)

I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES AND THE STATE OF LOUISIANA THAT I (WE) HAVE NOT DIRECTLY OR INDIRECTLY, ENTERED INTO ANY AGREEMENT, PARTICIPATED IN ANY COLLUSION, OR OTHERWISE TAKEN ANY ACTION IN RESTRAINT OF FREE COMPETITIVE BIDDING IN CONNECTION WITH THE CONTRACT FOR THIS PROJECT NOR VIOLATED LA. R.S. 48:254.

BIDDER'S DBE GOAL STATEMENT (APPLICABLE TO DBE GOAL PROJECTS)

IF THIS PROJECT IS DESIGNATED BY SPECIAL PROVISION AS A DISADVANTAGED BUSINESS ENTERPRISE (DBE) GOAL PROJECT IN ACCORDANCE WITH THE DBE PROVISIONS OF THIS CONTRACT, THE BIDDER ASSURES DOTD THAT HE/SHE WILL MEET OR EXCEED THE DBE CONTRACT GOAL, OR IF THE BIDDER CANNOT MEET THE REQUIRED DBE GOAL, THE BIDDER ASSURES DOTD THAT HE/SHE HAS MADE AND CAN DOCUMENT GOOD FAITH EFFORTS MADE TOWARDS MEETING THE GOAL REQUIREMENT IN ACCORDANCE WITH THE CONTRACT AND DBE PROGRAM MANUAL INCORPORATED HEREIN BY REFERENCE.

THE APPARENT LOW BIDDER SHALL COMPLETE AND SUBMIT TO THE DOTD COMPLIANCE PROGRAMS OFFICE, FORM CS-6AAA AND ATTACHMENT(S) AND, IF NECESSARY, DOCUMENTATION OF GOOD FAITH EFFORTS MADE BY THE BIDDER TOWARD MEETING THE GOAL, WITHIN TEN BUSINESS DAYS AFTER THE OPENING OF BIDS FOR THIS PROJECT. RESPONSIVENESS OF INFORMATION SUPPLIED IN THIS SECTION OF THIS CONSTRUCTION PROPOSAL SIGNATURE AND EXECUTION FORM IS GOVERNED BY THE DBE REQUIREMENTS INCLUDED WITHIN THE SPECIFICATIONS AND DBE PROGRAM MANUAL.

CERTIFICATION OF EMPLOYMENT OF LOUISIANA RESIDENTS TRANSPORTATION INFRASTRUCTURE MODEL FOR ECONOMIC DEVELOPMENT (TIME) PROJECTS (APPLICABLE TO TIME PROJECTS)

IF THIS PROJECT IS DESIGNATED BY SPECIAL PROVISION AS A TRANSPORTATION INFRASTRUCTURE MODEL FOR ECONOMIC DEVELOPMENT (TIME) PROJECT AS DEFINED IN ACT NO. 16 OF THE 1989 FIRST EXTRAORDINARY SESSION OF THE LEGISLATURE WHICH ENACTED PART V OF CHAPTER 7 OF SUBTITLE II OF TITLE 47 OF THE LOUISIANA REVISED STATUTES OF 1950, COMPRISED OF R.S. 47:820.1 THROUGH 820.6.

THE BIDDER CERTIFIES THAT AT LEAST 80 PERCENT OF THE EMPLOYEES EMPLOYED ON THIS TIME PROJECT WILL BE LOUISIANA RESIDENTS IN ACCORDANCE WITH LOUISIANA R.S. 47:820.3.

г	
l	NON PARTICIPATION IN PAYMENT ADJUSTMENT (ASPHALT CEMENT AND FUELS) STATEMENT
-	IF THIS PROJECT IS DESIGNATED BY SPECIAL PROVISION AS BEING SUBJECT TO PAYMENT ADJUSTMENT FOR ASPHALT CEMENT AND/OR FUELS, THE BIDDER HAS THE OPTION OF REQUESTING EXCLUSION FROM SAID PAYMENT ADJUSTMENT PROVISIONS THAT ARE ESTABLISHED BY SPECIAL PROVISION ELSEWHERE HEREIN.
	IF THE BIDDER DESIRES TO BE EXCLUDED FROM THESE PAYMENT ADJUSTMENT PROVISIONS,
	THE BIDDER IS REQUIRED TO MARK HERE
	FAILURE TO MARK THIS BOX PRIOR TO BID OPENING WILL CONSTITUTE FORFEITURE OF THE BIDDER'S OPTION TO REQUEST EXCLUSION.
L	
	CC 14A

CS-14A 08/06

BIDDER SIGNATURE REQUIREMENTS (APPLICABLE TO ALL PROJECTS)

THIS BID FOR THE CAPTIONED PROJECT IS SUBMITTED BY:

Name of Principal (Individual, Firm, Corporation, or Joint Venture)	
composition, or some venture)	
If Joint Venture, Name of First Partner	If Joint Venture, Name of Second Partner
(Louisiana Contractor's License Number of Bidder or First Partner to Joint Venture)	(Louisiana Contractor's License Number of Second Partner to Joint Venture)
(Business Street Address)	
	(Business Street Address)
(Business Mailing Address, if different)	(Business Mailing Address, if different)
(Area Code and Telephone Number of Business)	(Area Code and Telephone Number of Business)
(Telephone Number and Name of Contact Person)	
	(Telephone Number and Name of Contact Person)
(Telecopier Number, if any)	(Telecopier Number, if any)
BOVE CAPTIONED FIRM, CORPORATION OR BUSINESS, BY SUB- CCURACY OF ALL PROVISIONS OF THIS PROPOSAL, INCLUSIVE ERTIFICATIONS ABOVE AND IN THE SCHEDULE OF ITEMS AND PROPERTY.	THE UNDERSIGNED DULY AUTHORIZED REPRESENTATIVE OF THE MISSION OF THIS BID, AGREES AND CERTIFIES THE TRUTH AND JEE OF THE REQUIREMENTS, STATEMENTS, DECLARATIONS AND SIGNATURE OF THIS FORM GUARANTY. EXECUTION AND SIGNATURE OF THIS FORM GUARANTY SHALL CONSTITUTE AN IRREVOCABLE AND LEGALLY
(Signature)	(Signature)
(Printed Name)	(Printed Name)
(Title)	(Title)
(Date of Signature)	(Date of Signature)
CONTRACTOR'S TOTAL BASE BID \$	
IT IS AGREED THAT THIS TOTAL, DETERMINED BY THE BIDDER, IS PURPOSES OF OPENING AND READING BIDS ONLY, AND THAT THE	

PROJECT WILL BE DETERMINED FROM THE EXTENSION AND TOTAL OF THE BID ITEMS BY DOTD.

CS-14AA 08/06